



**UNIVERSITY SYSTEM
OF GEORGIA**

Board of Regents May 8, 2020 - Committee Meetings' Agenda

10:30 AM

Track II Committee Meetings: Finance & Business Operations
Regent Kessel D. Stelling, Jr.

Track II Committee Meetings: Internal Audit, Risk and Compliance
Regent Philip A. Wilheit, Sr.

Track II Committee Meetings: Real Estate & Facilities
Regent Samuel D. Holmes

3:30 PM

Track I Committee Meetings: Academic Affairs
Regent Erin Hames

Track I Committee Meetings: Organization & Law
Regent Don L. Waters

AGENDA

COMMITTEE ON FINANCE AND BUSINESS OPERATIONS

May 8, 2020

Agenda Item	Page No.
--------------------	-----------------

INFORMATION ITEMS

- | | | |
|----|-----------------------------------------------------------|---|
| 1. | FY 2020 Report on Third Quarter Revenues and Expenditures | 1 |
| 2. | USG Capital Liability Management Plan | 2 |

APPROVAL ITEM

- | | | |
|----|---------------------------------------------------------|---|
| 3. | Request for Multi-Year Leasing Contract Value Authority | 3 |
|----|---------------------------------------------------------|---|

1. FY 2020 Report on Third Quarter Revenues and Expenditures

Executive Vice Chancellor for Strategy and Fiscal Affairs, Tracey Cook, will present information on the Third Quarter Revenues and Expenditures for the University System of Georgia.

2. Information Item: USG Capital Liability Management Plan

Cynthia Robinson Alexander, Executive Finance Director, will outline the System's Capital Liability Management Plan for FY 2020-2024.

3. **Approval Item: Request of Resolution for Multi-Year Leasing Contract Value Authority**

Recommended: That the Board approve by resolution a request to the Georgia State Financing and Investment Commission (GSFIC) for contract value authority of \$15 million for multi-year office leases for FY 2021.

Understandings: Upon approval of the request by the Commission, the Office of Real Estate and Facilities will seek information from institutions concerning potential cost savings associated with conversion of existing annual rental agreements to multi-year rental agreements, and the potential for utilizing the multi-year option for new rental agreements.

Background: GSFIC must approve the proposed multi-year contract value authority for participating agencies for each fiscal year. Term of the contracts may not exceed 20 years. The State Properties Commission administers the multi-year leasing program. The Board of Regents' proposed contract value authority is incorporated in the Board of Regents Capital Liability Management Plan.

**RESOLUTION REQUESTING ESTABLISHMENT OF
MULTI-YEAR CONTRACT VALUE AUTHORITY**

WHEREAS, at the general election held on November 6, 2012, voters of the State approved an amendment to the Georgia Constitution to authorize the Board of Regents of the University System of Georgia (“BOR”) to enter into lease and rental contracts exceeding one year;

WHEREAS FURTHER, the General Assembly amended O.C.G.A. 50-16-41 effective January 1, 2013 to authorize the BOR through the State Properties Commission to enter into lease and rental contract exceeding one year;

WHEREAS FURTHER, O.C.G.A. 50-16-41 provides that the Georgia State Financing and Investment Commission “each year, may establish a total multi-year contract value authority;”

WHEREAS FURTHER, O.C.G.A. 50-16-41 provides that “[n]o multi-year lease or rental agreement shall be entered into under the provisions of this Code section until the Georgia State Financing and Investment Commission has established the fiscal policies and multi-year contract value authority for the current and future fiscal years.”

WHEREAS FURTHER, on December 12, 2012, the Georgia State Financing and Investment Commission established the fiscal policies required by O.C.G.A. 50-16-41;

WHEREAS FURTHER, the BOR has considered its expected needs for multi-year lease and rental agreements for fiscal year 2021, will comply with the aforementioned fiscal policies governing multi-year agreements, and determined to request the specific amount of contract value authority to be established for fiscal year 2021 in the amount of \$15,000,000.

NOW THEREFORE, BE IT RESOLVED THAT:

The BOR has considered its expected needs for multi-year lease and rental agreement for fiscal year 2021 and requests the Georgia State Financing and Investment Commission establish contract value authority for the BOR for fiscal year 2021 in the amount of \$15,000,000.

This resolution is adopted this ____ day of May, 2020.

Board of Regents of the University System of Georgia

By: _____
Chairman, Board of Regents of the University System of Georgia

(SEAL)

Attest: _____
Secretary to the Board, Board of Regents of the University System of Georgia



UNIVERSITY SYSTEM OF GEORGIA

Committee on Finance and Business Operations

INFORMATION ITEM 1:

FY 2020 Report on Third Quarter Revenues and Expenditures

Actual Revenues & Expenditures as of Third Quarter, FY 2020

(July 1 – March 31)

	FY 2019			FY 2019			FY 2020			FY 2020		
	Final Budget			3rd Qtr. Actuals			Amended Budget			3rd Qtr. Actuals		
Revenues:												
State Appropriations	\$	2,442,607,539	\$	1,831,955,654	75%	\$	2,561,494,565	\$	1,921,120,924	75%		
Tuition	\$	2,071,767,338	\$	1,971,517,097	95%	\$	2,104,529,900	\$	2,007,701,860	95%		
Sponsored	\$	2,570,546,003	\$	1,797,033,859	70%	\$	2,677,415,541	\$	1,882,762,120	70%		
Dept. Sales & Services	\$	513,618,142	\$	262,377,780	51%	\$	526,248,598	\$	277,959,662	53%		
Auxiliary Enterprises	\$	1,066,306,373	\$	906,150,355	85%	\$	1,078,631,048	\$	864,332,924	80%		
Student Activities	\$	174,096,152	\$	129,122,640	74%	\$	153,480,734	\$	107,422,217	70%		
Other Funds	\$	<u>1,273,563,657</u>	\$	<u>651,771,775</u>	51%	\$	<u>1,231,511,151</u>	\$	<u>680,690,579</u>	55%		
Total Revenues	\$	10,112,505,204	\$	7,549,929,160	75%	\$	10,333,311,537	\$	7,741,990,286	75%		

Actual Revenues & Expenditures as of Third Quarter, FY 2020

(July 1 – March 31)

	FY 2019 Final Budget	FY 2019 3rd Qtr. Actuals		FY 2020 Amended Budget	FY 2020 3rd Qtr. Actuals	
Total Revenues	\$ 10,112,505,204	\$ 7,549,929,160	75%	\$ 10,333,311,537	\$7,741,990,286	75%
Expenditures						
Salaries and Wages	\$ 5,425,069,936	\$ 3,846,006,355	71%	\$ 5,642,324,677	\$ 4,056,568,721	72%
Operating Expenses	\$ <u>4,687,435,268</u>	\$ <u>2,538,984,740</u>	54%	\$ <u>4,690,986,860</u>	\$ <u>2,651,736,088</u>	57%
Total Expenditures	\$ 10,112,505,204	\$ 6,384,991,095	63%	\$ 10,333,311,537	\$ 6,708,304,809	65%
Revenues in Excess of Expenditures	\$ -	\$ 1,164,938,065		\$ -	\$ 1,033,685,477	

INFORMATION ITEM 2: USG Capital Liability Management Plan



University System of Georgia
FY 2020 - 2024 Capital Liability Management Plan
(in thousands)

	Fiscal Year 2020	Fiscal Year 2021	Fiscal Year 2022	Fiscal Year 2023	Fiscal Year 2024
Total Principal Outstanding - Beginning of Year	\$3,111,586	\$2,987,289	\$3,039,514	\$3,019,361	\$2,991,054
Projected New Issuance					
PPV and/or GHEFA	14,060	159,126	100,000	100,000	100,000
Multi-Year Lease Contracts	0	15,000	15,000	15,000	15,000
Multi-Year Energy Performance Contracts	8,200	10,000	10,000	10,000	10,000
Scheduled Retirements and Refunding Impact	(146,557)	(131,902)	(145,153)	(153,306)	(166,123)
Total Principal Outstanding - End of Year	\$2,987,289	\$3,039,514	\$3,019,361	\$2,991,054	\$2,949,932
Total Capital Liability Payments (includes MYL & EPC)	\$291,137	\$302,770	\$319,499	\$330,955	\$342,349
USG Budgeted and Projected Operating Revenues	\$8,994,470	\$9,191,003	\$9,383,976	\$9,581,287	\$9,783,042
Capital Liability Payment Ratio (System Policy Limit of 5%)	3.2%	3.3%	3.4%	3.5%	3.5%



APPROVAL ITEM:
Request for Multi-Year Leasing Contract Value Authority

Board of Regents - Multi-Year Leases

Annual Authorization and Use		
FY 2015		\$15 million authorized; \$6.5 million utilized for GIT (Technology Enterprise Park)
FY 2016		\$150 million authorized; \$113.9 million utilized for GIT (commencing in FY 2019)
FY 2017		\$30 million authorized; \$0 utilized
FY 2018		\$20 million authorized; \$2.3 million utilized
FY 2019		\$15 million authorized; \$6.5 utilized for GPLS
FY 2020		\$25 million authorized; \$0 utilized
FY 2021		\$15 million requested

Action Item

Recommended: That the Board approve by resolution a request to the Georgia State Financing and Investment Commission (GSFIC) for contract value authority of \$15 million for multi-year office leases for FY 2021.

AGENDA

COMMITTEE ON INTERNAL AUDIT, RISK, AND COMPLIANCE

May 8, 2020

Agenda Item	Page No.
--------------------	-----------------

APPROVAL ITEMS

- | | |
|------------------------|---|
| 1. Internal Audit Plan | 1 |
|------------------------|---|

AGENDA

COMMITTEE ON INTERNAL AUDIT, RISK, AND COMPLIANCE

May 8, 2020

1. Approval Item: Internal Audit Plan (July 2020 – June 2021)

Overview: Internal audit professional standards require the Chief Audit Officer to establish risk-based audit plans. The University System Office of Internal Audit (OIA) audit plan includes engagements covering the 12 months within fiscal year 2021. The OIA audit plan and associated risk assessment are designed to assist management with addressing significant governance, risk management, compliance, and internal control risks through internal audit assurance and consulting engagements. The audit plan is presented to the Committee for review and approval annually with periodic updates throughout the year. The Chief Audit Officer may modify the plan as needed and will report changes to the Committee on Internal Audit, Risk, and Compliance.

On behalf of the Board of Regents Committee on Internal Audit, Risk, and Compliance, the Vice Chancellor for Internal Audit is charged with providing oversight, to the 17 institutional audit functions within the University System of Georgia. As part of this oversight process, institutional chief auditors provide their campus audit plans to the Chief Audit Officer for review and approval. The System Office audit staff reviews these plans and recommends the plan for review and approval to the Chief Audit Officer. The remaining institutions receive internal audit coverage through the Office of Internal Audit engagements.

At this meeting, Vice Chancellor for Internal Audit / Chief Audit Officer Claire Arnold will update the Committee on the audit plans for the next 12 months.

Attachment A
Office of Internal Audit
Rolling Audit Plan
July 1, 2020 - June 30, 2021

Type	Focus	Engagement Title	Objective
Assurance	Compliance Financial System-Wide	2021 - OIA - Benefit Reconciliations	To ensure that benefit accountant reconciliations are reconciled timely, accurately and are properly reviewed and approved.
Assurance	Compliance Operational System-Wide	2021 - OIA - One USG Employee Connect Project	To review the appropriateness and accuracy of supplemental payment amounts, payee addresses and supervisory approvals.
Assurance	Compliance System-Wide	2021 - OIA - Contract Authorizations and Management	To review compliance with USG and State of Georgia contract bidding and awarding requirements.
Consultation	Compliance System-Wide	2021 - OIA - To Be Determined	
Assurance	Financial Operational System-Wide	2021 - OIA - Tuition and Fees	To ensure institutions are properly applying tuition and fee assessments in accordance with policies, rates, guidelines and directives.
Assurance	Compliance Information Technology Operational System-Wide	2021 - OIA - Endpoint Security Review	To assess whether each institution has implemented the recommended priority controls over hardware, software, networks, vulnerability management, administrative privileges, audit logging and monitoring, email and web browser protection.
Assurance	Compliance Information Technology Operational System-Wide	2021 - OIA - Cybersecurity - Top 20 Controls	To assess whether each institution has implemented the recommended priority controls covering the following areas: hardware, software, networks, vulnerability management, administrative privileges, audit logging and monitoring, email and web browser protection.
Assurance	Compliance Information Technology Operational System-Wide	2021 - Gramm-Leach Bliley Act (GLBA) Follow-Up	To review institutional system security plans and associated risk assessments to ensure controls are identified and documented in compliance with requirements.

Attachment B
Office of Internal Audit
Institutional Audit Plans
July 1, 2020 - June 30, 2021

Institution	Project Type	Focus	Project Title	Audit Scope & Objectives
Research Universities				
Augusta University	Assurance	Information Technology System-Wide	2021 - AU - Endpoint Security Review	The purpose of this engagement is to review the effectiveness of endpoint security for user connected devices. The scope of this review focuses on endpoint security for user connected devices including policies and procedures as well as the effectiveness of controls.
	Assurance	Compliance	2021 - AU - International Initiatives	To assure international initiatives are properly managed to ensure compliance with applicable laws facilitating the employment of international personnel, the enrollment of international students and the hosting of international visitors.
	Assurance	Financial	2021 - AU - Finance & Administration: Expenditures	To provide assurance to management that sound practices exist for monitoring, review, and approval of financial transactions.
	Assurance	Compliance Information Technology Operational	2021 - AU - Disaster Recovery/Business Continuity	To evaluate documented processes and procedures and assess the effectiveness for disaster preparedness and the continuation of key business functions in the event of a disruption.
	Assurance	Compliance Operational	2021 - AU - Enterprise: Contracting Management Governance and Compliance	To assure the administration, management, and execution of contracts is in compliance with all applicable enterprise policies and procedures to maximize operations and minimize risk to the enterprise.
	Assurance	Compliance Operational	2021 - AU - University: Departmental Self-Assessment	To provide management assurance that controls are working effectively across 32 operational and administrative areas.
	Assurance	Compliance Operational	2021 - AU - Medical Center: Departmental Self-Assessment	To provide management assurance that controls are working effectively across 31 operational and administrative areas.
	Assurance	Compliance Financial	2021 - AU - HR Benefits: Leave & Withholding	To determine the adequacy of AU's system of controls for the management of leave and employee benefits. Additionally, to identify any process improvements to ensure accuracy of the data.
	Assurance	Compliance Financial Operational	2021 - AU - Follow-Up: Patient Access Services	Access to patient services is vital to the lifeline of AU and AUHS as our patients are one of our top priorities. A follow-up in this area is necessary to determine if corrective actions have been implemented to assure procedures are operating effectively and efficiently to foster appropriate access to services.
Georgia State University	Assurance	Information Technology	2021 - GSU - IT Governance	Assess information and technology governance structure and practices to assure that the investments in information and technology are aligned with and further university strategies, generate value, and mitigate the risks associated with information technology.
	Assurance	Compliance Operational	2021 - GSU - Research Conflict of Interest	Evaluate compliance with university policies governing conflicts of interest in research, including the development and monitoring of conflict of interest management plans.
	Assurance	Information Technology	2021 - GSU - System Development Lifecycle	Assess the campus system development life cycle at each phase to assure that objectives at each phase are met to effectively deliver the change. Additionally, assess if the change management approach is appropriate and delivering success. Evaluate the engagement of key stakeholders to drive the change throughout the change. Determine that the guiding principles are reflected throughout and across the project.
	Assurance	Compliance	2021 - GSU - Football Attendance	Assure that football game attendance reporting is compliant with NCAA requirements.
	Assurance	Compliance Operational	2021 - GSU - Student Fees	Assess the effectiveness of management's governance, risk management and control practices for administering student fees in accordance with applicable campus and system wide policies and guidelines, including fee proposal review, assessment and evaluation of appropriate use.
	Assurance	Compliance Operational	2021 - GSU - Disclosure Statement DS-2	Evaluate compliance 2 CFR § 200.419 Cost accounting standards and disclosure statement.
	Assurance	Operational	2021 - GSU - Research Centers and Institutes (Schools, Colleges and Departments)	Assess the effectiveness of governance, risk management and controls employed around research centers and institutes. To determine if responsible authority implemented management and oversight controls necessary to ensure the success of its center programs, appropriate stewardship of entrusted resources and compliance with sponsor requirements.
	Assurance	Operational	2021 - GSU - Capital Budget and Planning	Assess whether budgets are established consistent with the university's strategic plan, master plan, financial forecasts and allowable usage funds. Evaluate reports produced to enable senior managers to effectively monitor the university's financial position and capital activities against the budget and strategic plan.
	Assurance	Operational	2021 - GSU - Continuity Planning	To provide management with an independent assessment of the effectiveness of the campus business continuity plan and its alignment with subordinate continuity plans, evaluate the enterprise's preparedness in the event of a major business disruption and identify issues that may limit interim business processing and restoration.
	Blended	Information Technology System-Wide	2021 - GSU - Endpoint Security Review	The purpose of this engagement is to review the effectiveness of endpoint security for user connected devices. The scope of this review focuses on endpoint security for user connected devices including policies and procedures as well as the effectiveness of controls.
	Blended	Operational	2021 - GSU - Internal Communication	Assess the current state of internal communication practices for the university. Evaluate the effectiveness of current campus practices to achieve a fundamental set of operational principles; alignment of communications with management's objectives and employee needs, clarity and appropriateness of roles and responsibilities, timeliness and accuracy of communications and adequacy of internal communication tools and platforms.
	Consultation	Operational	2021 - GSU - Human Resource Cycle Delegations of Authority Employee Management	To identify and annotate the source and points of delegated decision making authority for human resource activities across the university. To review roles and responsibilities in underlying systems and identify potential policy conflicts and misalignments.
	Consultation	Compliance	2021 - GSU - Compliance Risk Assessment	The goal of the compliance risk assessment is to inventory key compliance obligations, understand the maturity and effective of governing compliance programs as compared to the federal sentencing guidelines, and assess whether program controls are aligned with the underlying risk of noncompliance.
Georgia Institute of Technology	Assurance	Compliance Financial	2021 - GT - Subcontracts, Subawards, and Subrecipient Monitoring	To determine whether the Institute is monitoring subrecipient activities in compliance with federal statutes, regulations and Uniform Guidance. As well as determine if the Institute monitoring efforts provide reasonable assurance that subrecipients are in compliance with federal and award requirements, and financial operations are being administered to provide reasonable assurance that funds are used for authorized purposes.
	Assurance	Compliance Financial	2021 - GT - Export Review Procedure/Foreign Influence	To review compliance with the Institute's Export Review procedures
	Assurance	Compliance Information Technology	2021 - GT - Student Data Records Archiving and Retention	To ensure compliance with GT Secure Data Practices.
	Assurance	Information Technology	2021 - GT - Canvas/D2L Learning Management System Control Review	To ensure controls in place are adequate to maintain confidentiality, integrity, and availability of data.
	Assurance	Compliance	2021 - GT - Performance Management Process and Procedures	To determine compliance with the Institute's Performance Management policy (this can be done campus-wide or at unit level)
	Assurance	Operational	2021 - GT - Lab Safety and Inspections	To ensure that Georgia Tech's labs involving research have been properly inspected.

Attachment B
Office of Internal Audit
Institutional Audit Plans
July 1, 2020 - June 30, 2021

Institution	Project Type	Focus	Project Title	Audit Scope & Objectives
	Assurance	Compliance Financial	2021 - GT - Bid Process (Facilities and Business Services)	To provide reasonable assurance that internal controls over integrity and compliance of the bidding system at Georgia Tech are designed and operating effectively
	Assurance	Compliance Quality Assurance	2021 - GT - MOUs of Affiliated Organizations	To ensure MOUs of Affiliated Organizations are in place and current, and establishes a cooperation and collaborations
	Assurance	Compliance	2021 - GT - Football Attendance Verification	To verify football attendance to satisfy NCAA requirements (bi-annual audit)
	Assurance	Compliance Quality Assurance	2021 - GT - Business Continuity Plan/Campus Wide	To review/validate the existence and effective of the Institute business continuity plans - 4th quarter 2021
	Assurance	Compliance	2021 - GT - Conflict of Interest Compliance and Review	To ensure compliance with Conflict of Interest (COI) reporting, and real or potential conflicts are appropriately disclosed and reviewed/resolved, for employees involved in procurement decisions.
	Assurance	Compliance Financial	2021 - GT - Expense Review	To assure compliance with Institute purchasing and travel policies for 5 high risk units
	Assurance	Compliance Financial	2021 - GT - Facilities/Deferred Maintenance Audit	To ensure that the Institute has adequate controls to review and monitor deferred maintenance.
	Assurance	Compliance	2021 - GT - Review of Processes for Appropriate Delegation Authority	To ensure that delegations are at an appropriate effective level within the organization
	Assurance	Compliance Financial	2021 - GT - Effort Reporting	To ensure that researchers are timely and accurately reporting their efforts towards sponsored projects and in compliance with Research Administration Policies 2.1.6 Minimum Effort and 2.1.7 Maximum Effort.
	Assurance	Compliance Financial	2021 - GT - Planned Assistance for USG mandated audit	USG will be conducting a review in youth programs
	Assurance	Quality Assurance	2021 - GT - Quality Assurance Review	Required review of Department of Internal Auditing
	Assurance	Information Technology System-Wide	2021 - GT - Endpoint Security Review	The purpose of this engagement is to review the effectiveness of endpoint security for user connected devices. The scope of this review focuses on endpoint security for user connected devices including policies and procedures as well as the effectiveness of controls.
	Assurance	Compliance Financial Operational	2021 - GT - Follow-up Legacy Findings	Complete outstanding findings from TeamMate.
	Consultation	Compliance Financial	2021 - GT - Workday Spend Authorization	Consultation with Business Services regarding properly implementation and execution of WorkDay spend authorization process. Carried over from FY20.
	Consultation	Compliance Financial	2021 - GT - Workday Expense Reimbursement	Consultation with Business Services regarding WorkDay expense reimbursement system. Carried over from FY20.
	Consultation	Operational	2021 - GT - Athletic Admissions Process	Consultation with GTAA to review procedures for vetting and admitting student athletes. Carried over from FY20.
	Consultation	Compliance Financial Information Technology	2021 - GT - Workday Pcard	Consultation with Business Services regarding PCard governance in WorkDay.
	Consultation	Compliance Financial	2021 - GT - Workday Supplier Invoice	Consultation with Business Services regarding accurate and timely processing of supplier invoices in the WorkDay system.
University of Georgia	Assurance	Compliance	2021 - UGA - UGACard Services	The purpose of our audit is to assess and determine whether the Office complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. It will encompass testing personnel records and HR procedures, reviewing petty cash fund procedures, and reviewing departmental sale and service accounts for compliance.
	Assurance	Compliance	2021 - UGA - Federal Vehicle Tracking and Fuel Monitoring	The purpose of our audit is to assess and determine whether the Office complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. It will encompass ensuring timely reporting to state, review of fuel usage data, and review of maintenance records for compliance.
	Assurance	Compliance Operational	2021 - UGA - Small Business Development Center	The purpose of our audit is to assess and determine whether the Small Business Development Center complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. It will encompass testing personnel records and HR procedures, reviewing petty cash fund procedures, and reviewing departmental sale and service accounts for compliance.
	Assurance	Compliance	2021 - UGA - Social Media	This audit will seek to review and test authentication and access management, governance, content, and compliance with regards to Social media.
	Assurance	Compliance Financial	2021 - UGA - National Transit Reporting	Review current NTD requirements, note any changes applicable to UGA's reporting requirements from previous year and update Background & Procedures.
	Assurance	Compliance Financial	2021 - UGA - Student Financial Aid	The purpose of our audit is to assess and determine whether Student Financial Aid complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. Encompassing reviews of Pell grant eligibility, a recalculation of benefits, and ensuring compliance with return to title IV and enrollment qualifications.
	Assurance	Information Technology System-Wide	2021 - UGA - Endpoint Security Review	The purpose of this engagement is to review the effectiveness of endpoint security for user connected devices. The scope of this review focuses on endpoint security for user connected devices including policies and procedures as well as the effectiveness of controls.
	Blended	Compliance Operational	2021 - UGA - Chemistry Department	The purpose of our audit is to assess and determine whether the Office complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. It will encompass testing personnel records and HR procedures, reviewing petty cash fund procedures, and reviewing departmental sale and service accounts for compliance.
	Blended	Compliance Operational	2021 - UGA - Complex Carbohydrate Research Center	The purpose of our audit is to assess and determine whether the Office complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. It will encompass testing personnel records and HR procedures, reviewing petty cash fund procedures, and reviewing departmental sale and service accounts for compliance.
	Blended	Compliance Operational	2021 - UGA - Vet Med Animal Resources	The purpose of our audit is to assess and determine whether the Office complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. It will encompass testing personnel records and HR procedures, reviewing petty cash fund procedures, and reviewing departmental sale and service accounts for compliance.

Attachment B
Office of Internal Audit
Institutional Audit Plans
July 1, 2020 - June 30, 2021

Institution	Project Type	Focus	Project Title	Audit Scope & Objectives
	Blended	Compliance Operational	2021 - UGA - Office of The University Architect	The purpose of our audit is to assess and determine whether the Office complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. It will encompass testing personnel records and HR procedures, reviewing petty cash fund procedures, and reviewing departmental sale and service accounts for compliance.
	Blended	Compliance Operational	2021 - UGA - Poultry Diagnostic Research Center	The purpose of our audit is to assess and determine whether the Poultry Diagnostic Research Center complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. It will encompass testing personnel records and HR procedures, reviewing petty cash fund procedures, and reviewing departmental sale and service accounts for compliance.
	Blended	Compliance Financial Operational	2021 - UGA - University Health Center	The purpose of our audit is to assess and determine whether the University Health center complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. This will encompass verifying the office has effective procedures for flexible work options and leave, reviewing equipment procedures and petty cash funds, and testing expenditure transactions to ensure they are properly authorized.
	Blended	Compliance Operational	2021 - UGA - Office of the University Architect	The audit will seek to identify critical processes by using a questionnaire as well as direct interviews and walk throughs. The walk throughs will help rate the various risk level of each key business process in order to identify the best application of testing. We will also perform substantive testing of a sample of transactions.
	Blended	Compliance Financial Operational	2021 - UGA - Tifton Research Campus	The purpose of our audit is to assess and determine whether the Tifton Research Campus complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. This includes any new construction projects and the management of associated cost. As well as encompassing revenue management, cash handling, event coordination and over financial reporting.
	Blended	Compliance Operational	2021 - UGA - Office of Research Integrity and Safety	The purpose of our audit is to assess and determine whether the Office is providing the essential services needed to comply with academic standards of quality research and safety as well as complies with applicable University, Board of Regents, state, and federal regulations, policies, and procedures, and is operating with sound fiscal procedures and internal controls. Export Controls will not be included as this area was audited in the last cycle.
Comprehensive Universities				
Georgia Southern University	Assurance	Compliance	2021 - GS - FBS Home Game Attendance (Fall 2020)	Conducted annually for Athletics. Provide reasonable assurance the University is averaging at least 15,000 in home game attendance and to ensure home game attendance certification is completed in order to satisfy compliance with NCAA Division I membership bylaws.
	Assurance	Quality Assurance	2021 - GS - Quality Assurance Review	Required by The IIA Standards. Validate compliance with The IIA Standards. Five year review due by December 2020.
	Assurance	Compliance	2021 - GS - Americans with Disabilities Act	Provide reasonable assurance the University's website and online programs are accessible to individuals with disabilities. To assess the University's compliance with Federal civil rights laws with respect to the accessibility of its website and on-line programs to individuals with disabilities.
	Assurance	Compliance	2021 - GS - Human Subjects Research and Animal Care & Use	Provide reasonable assurance investigators are following human subjects research and animal use and care regulations.
	Assurance	Operational	2021 - GS - International Admissions and Programming	Requested by VPED because newly developed department. Provide reasonable assurance the department is operating efficiently and effectively and in compliance with applicable policies, procedures, and regulations.
	Assurance	Compliance	2021 - GS - Faculty and Staff Onboarding Procedures	Provide reasonable assurance faculty and staff onboarding procedures are in compliance with Federal, USG, and faculty and staff guidelines.
	Assurance	Operational	2021 - GS - Mandatory Student Fee and Waiver Allocation	Provide reasonable assurance mandatory fees are properly allocated to student accounts and mandatory fee waivers are appropriate.
	Assurance	Operational	2021 - GS - Student Conduct	Review Student Conduct processes and identify opportunities for improvement.
	Consultation	Operational	2021 - GS - Employee Access to Dining Commons and Employee Purchased Meal Plans	Requested by VPBF. Review employee physical access to Dining Commons and employees eligible for meal plans.
	Consultation	Operational	2021 - GS - CLEC Funding Process for Speakers	Requested by Legal Affairs. Determine how speaking engagements are vetted through CLEC.
Kennesaw State University	Assurance	Compliance Operational	2021 - KSU - NCAA Compliance	The objectives of this audit are to evaluate the efficiency and effectiveness of the eligibility and recruiting processes and to ensure activities are compliant with NCAA rules.
	Assurance	Compliance Operational	2021 - KSU - Dual Appointment Process	The objectives of this audit are to ensure dual appointment contracts/agreements are in place and terms and conditions are complied with (e.g., payment, reporting, etc.).
	Assurance	Compliance Financial Operational	2021 - KSU - Travel Audit (College of Engineering)	The objective of this audit is to determine if travel expenditures are accurate and in compliance with BOR, KSU, and State of Georgia Travel policies and guidelines.
	Assurance	Information Technology	2021 - KSU - User Access Review	The objectives of this audit are to ensure a User Access review process (i.e., assignment, modification, and removal) is in place and adequate and that the associated documentation for the review is maintained.
	Assurance	Information Technology System-Wide	2021 - KSU - Endpoint Security Review	The purpose of this engagement is to review the effectiveness of endpoint security for user connected devices.
	Assurance	Operational	2021 - KSU - Fiscal Services - Contract Office	The objectives of the audit are to assess the newly established Contracts Office operations and determine if construction purchase orders are processed in accordance with DOAS policies and procedures.
	Consultation	Compliance	2021 - KSU - Conflict of Interest	The objective of this audit is to assess disclosure, completeness, and compliance with personal relationships policy for faculty and staff. We will also utilize data analytics for this audit. The scope of this audit is KSU faculty and staff as of the audit commencement.
University of West Georgia	Assurance	Compliance Financial Operational	2021 - UWG - Review of Scholarship Awards and Controls	Review of scholarship awards within the Foundation accounting system and validate that the information reconciles with the institutions financial records and are in compliance with donor specifications.
	Assurance	Compliance Financial Operational	2021 - UWG - Review Financial Aid Compliance	Review financial aid operations in the areas of appeal process, SAP, and Separation of Duties. Additionally this will follow-up on issues identified in the 2018 and 2019 financial audit.
	Assurance	Compliance Financial Operational	2021 - UWG - NCAA Compliance Review Part III	Internal audit will engage on a compliance review of NCAA Division II regulations within the areas of Financial Aid, Playing and Practice Standards, Athletic Equipment and Apparel and Complimentary Admissions using the ACUA Division II audit guide.

Attachment B
Office of Internal Audit
Institutional Audit Plans
July 1, 2020 - June 30, 2021

Institution	Project Type	Focus	Project Title	Audit Scope & Objectives
	Assurance	Compliance Information Technology Operational	2021 - UWG - Data Governance and FERPA compliance / training	To evaluate university compliance with BPM Section 12: Data Governance and Management and evaluate status of data security and governance training. To review areas for FERPA compliance.
	Assurance	Information Technology System-Wide	2021 - UWG - Endpoint Security Review	The purpose of this engagement is to review the effectiveness of endpoint security for user connected devices. The scope of this review focuses on endpoint security for user connected devices including policies and procedures as well as the effectiveness of controls.
	Assurance	Operational	2021 - UWG - Review implementation of Banner consultant recommendations	Review to ensure appropriate implementation of consultant recommendations.
	Assurance	Financial System-Wide	2021 - UWG - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Consultation	Financial Operational	2021 - UWG - Consultation on Greek Life operations	Obtain and review current documentation/information available to understand policies, procedures, training and internal controls in place. to oversee Greek Life at UWG. Specific areas, include: New Member Information, Hazing Prevention, Hazing Reporting, Alcohol and Drug use, Incident Reporting etc.
	Consultation	Financial Information Technology	2021 - UWG - Consultation on Wi-Fi status and improvement plans	Review current WiFi status and improvement plans looking to identify areas of improvement. Review the process by which ITS grades wireless connectivity and the process by which concerns are raised with ITS about wireless connectivity.
	Consultation	Compliance Information Technology Operational	2021 - UWG - Consultation of compliance management and reporting	Review current system of compliance reporting and monitoring. Identify to management regarding potential areas of improvement which will help management identify improvements and document for senior management the current system used by the various colleges, schools, and administrative departments.
	Consultation	Financial Operational	2021 - UWG - Consult on fee revenue due to eCore compared to incremental eCore revenue	To review and evaluate the extent that eCore class enrollment has impacted the collection of fees by the university in comparison to other incremental revenues that have been provided through the eCore curriculum. To review traditional attendance for courses that also have eCore sections by comparing attendance by UWG students at eCore sections to trends in attendance at traditional sections.
	Consultation	Compliance Financial Operational	2021 - UWG - Review consistency of position compensation and classification. Also review offboarding procedures.	Review HR department, specifically the following two areas; the consistency of position compensation and classification and off boarding activities.
	Consultation	Financial Operational	2021 - UWG - Review process that results in determination of which summer classes to run or not.	There has been concern noted that management applies to stringent a model for which classes to hold and which not when evaluating summer course offerings. This results in the loss of student enrollment for the summer session.
Valdosta State University	Assurance	Operational	2021 - VSU - Purchasing	To review controls over the effectiveness and efficiency of operations for the purchasing process utilizing internal policies and procedures, external laws and regulations and leading practices.
	Assurance	Compliance	2021 - VSU - Grants and Contracts Follow-Up	Validate that adequate internal controls were implemented to mitigate the material risk identified
	Assurance	Compliance	2021 - VSU - Title IX	Validate compliance with Title IX regulations
	Assurance	Compliance	2021 - VSU - PCI Certification	To validate PCI compliance with campus merchants and departments prior to certification
	Assurance	Quality Assurance	2021 - VSU - VSU Quality Assurance Review	Self assessment with independent external validation. The external assessor must conclude as to conformance with the Code of Ethics and the Standards
	Consultation	Financial	2021 - VSU - Academic Affairs: Budgeting	To evaluate academic budget management processes utilizing internal policies/procedures and leading practices.
	Consultation	Compliance	2021 - VSU - PCard Self-Audit	To complete the annual DOAS report
	Consultation	Compliance Operational	2021 - VSU - Conflict of Interest	The objectives would be to determine the various campus perspectives on their interpretation of the policy compared to how it should be interpreted. Our goal would be to reduce the risk of supervisors and employees not knowing or understanding how to comply with this policy and try to determine a more streamlined approach for managing all of the required requests.
State Universities				
Albany State University	Assurance	Compliance Operational	2021 - ASU - HR On-Boarding/Supplemental Pay Review	To review HR internal controls and processing/onboarding of new employees to determine if the University is in compliance with ASU, USG and federal requirements. In addition, the OIA will access the processing of background checks, the procedures once the background checks are returned to the institution, and if I-9s are being processed within the timeline outlined by federal law.
	Assurance	Financial	2021 - ASU - Auxiliary Services Financial Review	To determine the financial viability of each individual unit within Auxiliary Services and what process/procedures the University has implemented for unprofitable auxiliary services units. In addition, OIA will access if the University has established a five years plan for each auxiliary enterprise unit and if the plan is being updated annually.
	Assurance	Compliance Operational	2021 - ASU - Contracts and Grants - Post Award	To determine that an effort reporting system is in place to ensure accurate and proper documented evidence of work performed on sponsored programs. They will also determine if terms and conditions/policies outlined in the grants/contracts were adhered to by reviewing data/documents/financial records, and, if a viable records retention system has been established for award documents.
	Assurance	Operational	2021 - ASU - Study Abroad	OIA will determine if ASU Study Abroad Program is in compliance with institutional/BOR International Educational Guidelines. OIA will also access if ASU Study Abroad Program has written policies and procedures that govern its operation and determine if funds are being used appropriately/reconciled for each Study Abroad program.
	Assurance	Financial System-Wide	2021 - ASU - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Consultation	Compliance	2021 - ASU - Attendance Verification Review	To determine if the University has written attendance verification policies and/or procedures and will access if faculty members are timely conducting attendance verification. The OIA will also determine if faculty are timely providing relevant documentation to the appropriate departments within Enrollment Management.
Clayton State University	Assurance	Financial Operational	2021 - CLSU - Public Safety - Parking Cash Handling Audit	To determine if collected funds were properly receipted, recorded and deposited in a timely manner and if adequate cash handling procedures and written policies were in place to safeguard university assets. OIA will also determine if proper accountability was maintained for all issued/unissued parking decals.
	Assurance	Compliance	2021 - CLSU - HIPAA AUDIT- Dental Clinic and University Center	To review the Dental Clinic and the University Center and assess the level of compliance with applicable HIPAA policies and procedures and state and federal regulations as it relates to Person Health Information (PHI).

Attachment B
Office of Internal Audit
Institutional Audit Plans
July 1, 2020 - June 30, 2021

Institution	Project Type	Focus	Project Title	Audit Scope & Objectives
	Assurance	Financial	2021 - CLSU - Bursar Cash Handling Audit	To review cash handling internal controls in the Bursar's office to determine compliance with policies and procedures.
	Blended	Operational	2021 - CLSU - Investigations	Assigned Investigations
	Consultation	Operational	2021 - CLSU - Housing Access Audit-Laker Hall	The scope of the audit will include access procedures for Laker Hall Fall 2020. The objectives of the audit were to determine if UT Tyler student housing program operations are adequately monitored and are in compliance with university and departmental policies specifically related to the safety and security of the facilities and student residents.
Columbus State University ¹	Assurance	Compliance Financial	2021 - CSU - Travel Reimbursements and Advances	To determine if the institution is adhering to USG and SAO policies and procedures surrounding travel reimbursements and travel advances.
	Assurance	Financial System-Wide	2021 - CSU - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Consultation	Compliance	2021 - CSU - Faculty Credentialing	To review faculty credentialing as related to SACS compliance.
Fort Valley State University ¹	Assurance	Financial System-Wide	2021 - FVSU - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Assurance	Compliance	2021 - FVSU - Head Start Federal Compliance	To verify compliance with applicable federal regulations during fiscal year 2020.
	Blended	Compliance Financial	2021 - FVSU - Grant Management and Time & Effort Reporting	To review the institutions business procedures and controls over grants management (consulting). To verify compliance with Time and Effort requirements for the federal land grants (assurance).
	Blended	Financial System-Wide	2021 - GCSU - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Blended	Compliance Operational	2021 - GCSU - Payroll	This audit will encompass a global review over payroll processes.
	Blended	Compliance Operational	2021 - GCSU - Honors Program/Honors College	This audit will consist of a review over the Honors College.
Georgia Southwestern State University ²	Assurance	Compliance	2021 - GSW - Gas Card Operations	To determine if GSW Gas Card Program is in compliance with institutional policies and Georgia Department of Administrative Services (GA DOAS) policies and procedures. To review GSW Gas Card Program internal controls and determine if weaknesses exist that could result in fraud, waste or abuse and if incurred expenses for gas card transactions were reasonable and properly authorized.
	Assurance	Financial System-Wide	2021 - GSW - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Assurance	Compliance Information Technology	2021 - MGSU - Information Security	To assess Information Security compliance with USG ITS policies and procedures
	Assurance	Compliance Information Technology	2021 - MGSU - Cyber-security Incident Management	To review the institution's Cyber-security Incident Management plan and determine compliance with USG policies and procedures.
	Assurance	Compliance Financial Operational	2021 - MGSU - Cash Handling	To review cash handling internal controls and determine compliance with policies and procedures.
	Blended	Compliance Operational	2021 - MGSU - Purchasing Card (DOAS)	Perform the annual DOAS Purchasing Card Self-Audit.
Savannah State University ³	Assurance	Financial	2021 - SSU - Bank and Balance Sheet Account Reconciliations	The objective of this audit is to review the accuracy and timeliness of reconciliations related to bank accounts, receivables, payables and capital assets.
	Blended	Financial Operational	2021 - SSU - Cash Management	The objective of this audit is to review internal controls, processes and procedures over cash collections and general cash management.
University of North Georgia	Assurance	Operational	2021 - UNG - Continuing Education	To ensure that money is adequately secured and properly spent, reconciliations are accurate and timely, and deposits are proper.
	Assurance	Compliance	2021 - UNG - Financial Aid - R2T4 & SAP	Fall 2021 R2T4 & SAP
	Assurance	Financial	2021 - UNG - Reserves Management	Year ending 6/30/2020; Objectives to be determined at a later date.
	Assurance	Operational	2021 - UNG - Onboarding Process	Calendar year 2020. Objectives to be determined at a later date.
	Blended	Compliance	2021 - UNG - P-Card Self Audit	FY20; Objectives to be determined.
State Colleges				
Abraham Baldwin Agricultural College ¹	Assurance	Financial System-Wide	2021 - ABAC - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Consultation	Compliance Information Technology	2021 - ABAC - Logical Access Review - Banner and PeopleSoft	The purpose and objective of this project is to determine that IT general controls over the Banner and PeopleSoft applications have been established to govern user access to the application. Our procedures will focus on processing managing new user access, removal of accounts, periodic monitoring, and limiting privileged administrator access.
Atlanta Metropolitan State College ¹	Assurance	Financial	2021 - AMSC - Atlanta Metropolitan Projects, Inc. Foundation	The objectives of this engagement are to determine a) the purpose of the Foundation and its operational activities, b) the flow of funds between the Foundation and AMSC and c) if the Foundation should be disbanded and an alternative funding mechanism be used for AMSC's PPV project.
	Assurance	Compliance Financial	2021 - AMSC - Grants Management and Compliance	To review compliance of federal and state regulations related to eligibility of funds expenditures, adequacy of expenditure support documentation and internal controls related to TRIO and Achieve Atlanta grants.
Coastal College of Georgia	Consultation	Compliance Financial Operational	2021 - CCG - Unrelated Business Income	To determine CCG policies and processes related to Unrelated Business Income.
Dalton State College ¹	Assurance	Compliance	2021 - DSC - Contracts and Grants	To assure the administration, management, and execution of contracts is in compliance with all applicable enterprise policies and procedures to maximize operations and minimize risk to the enterprise.
	Assurance	Financial System-Wide	2021 - DSC - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
East Georgia State College ⁴	Assurance	Compliance	2021 - EGSC - IT General Controls	To assess IT general control environment.
	Assurance	Compliance Operational	2021 - EGSC - Hazardous Materials Audit	The audit should include a physical inspection of areas, policy review, and personnel responsible for ordering, storing, distributing, using and periodically disposing of chemicals.

Attachment B
Office of Internal Audit
Institutional Audit Plans
July 1, 2020 - June 30, 2021

Institution	Project Type	Focus	Project Title	Audit Scope & Objectives
	Assurance	Information Technology System-Wide	2021 - EGSC - Endpoint Security Review	The purpose of this engagement is to review the effectiveness of endpoint security for user connected devices. The scope of this review focuses on endpoint security for user connected devices including policies and procedures as well as the effectiveness of controls.
Georgia Gwinnett College	Assurance	Compliance Operational	2021 - GGC - Purchasing	To verify that state purchasing protocols are being followed for acquiring goods and services - in particular, the RFP process.
	Assurance	Information Technology	2021 - GGC - Information Technology General Controls Review	To assess adequacy of IT General Controls - Scope TBD
	Assurance	Financial System-Wide	2021 - GGC - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Assurance	Financial	2021 - GGC - P-Card Self Audit	Limited verification/certification of P-Card activity.
	Blended	Compliance	2021 - GGC - GGC Foundation	Understanding of GGC's Foundation relationship with the College and the sharing of resources and personnel. Review of financial arrangements, and MOU per BOR Policy 6.17 and BPM 17.1.
Georgia Highlands College	Assurance	Compliance Financial System-Wide	2021 - GHC - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Assurance	Compliance Financial	2021 - GHC - Purchasing Procedures	Review of overall procurement procedures, including obtaining estimates, quotes, bids (as needed), proper documentation, processing purchase orders, and approval process. Determine compliance with GHC and BOR policies and procedures, such as spending thresholds.
	Assurance	Compliance Financial	2021 - GHC - P-Card Self Audit - Annually	Internal audit works with the Director of Procurement to complete the annual required self-assessment for BOR.
	Assurance	Compliance Operational	2021 - GHC - HIPAA (Dental hygiene services)	To assess processes and controls in regards to compliance with HIPAA and ensure privacy of health information.
	Assurance	Compliance	2021 - GHC - Financial Aid - Compliance	Determine level of compliance with Federal and State regulations, such as Return to Title IV (R2T4) and Satisfactory Academic Progress (SAP).
Gordon State College ¹	Assurance	Information Technology	2021 - GSC - Provisioning and De-Provisioning of Information Technology Resources	The purpose of this audit is to access the adequacy of controls over granting and removing access to critical information technology resources
	Assurance	Financial System-Wide	2021 - GSC - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Consultation	Compliance Information Technology	2021 - GSC - PCI Compliance	Identify areas on campus where credit card transactions may be transmitted and collected and review that appropriate standards are followed to secure and protect data.
South Georgia State College ¹	Assurance	Financial System-Wide	2021 - SGSC - Financial Procedures Review	To review the FY20 Annual Financial Statements to verify that the balances are supported with the underlying accounting records. We will also review various reconciliations for accuracy and timeliness.
	Assurance	Compliance	2021 - SGSC - Student Financial Assistance - Federal Compliance	To determine if the institution is in compliance with applicable federal regulations for procedures associated with common audit findings including Return to Title IV, Satisfactory Academic Progress and Eligibility.

¹ The Office of Internal Audit is responsible for providing audit services to this institution.

² Albany State University is responsible for providing audit services to this institution.

³ The Office of Internal Audit will temporarily provide audit services to this institution.

⁴ Augusta University is responsible for providing audit services to this institution.



UNIVERSITY SYSTEM OF GEORGIA

2021 Internal Audit Plan

Internal Audit Plan

Audit plan continuously evolves to support our dynamic risk environment that includes current and emerging Reputational, Compliance, Operational, Information Technology and Financial risks.

Objective: Ensure internal audit activities in the Rolling Audit Plan consistently align with USG strategic issues and critical processes to achieve the greatest impact.

Current Audit Plan thru June 2020

Assurance Engagements:

- Financial Procedures Engagements (Issued)
- Presidential Transition Audit – Atlanta Metropolitan State College (Issued)
- Presidential Transition Audit – Georgia Gwinnett College (Issued)
- Business Continuity/Disaster Recovery (Draft)
- Accounts Receivable System-Wide (Draft)
- Presidential Transition Audit – Georgia Institute of Technology (In Progress)
- Audit Finding Follow-Up (In Progress)
- University System Office (In Progress)
- Presidential Transition Audit – Savannah State University (Delayed)

Consulting Engagements:

- Student Financial Assistance Compliance Assessment/Atlanta Metropolitan State College (Issued)
- Banner Segregation of Duties Assessment/Savannah State University (Issued)
- Gramm-Leach Bliley Act (GLBA) Compliance Assessment/System-Wide (In Progress)

Upcoming Focus Areas:

1. Financial Procedures Engagements
2. Quality Assurance Review (Special Project)
3. OneUSG Connect Implementation Review
4. Benefit Reconciliations
5. Contract Authorization and Management
6. Tuition and Fees
7. Network – End Point Security Monitoring
8. Cybersecurity – Top 20 Controls
9. GLBA Follow-Up
10. System-Wide Engagement – To Be Determined
11. Institutional Internal Audit Services

2021 Audit Plan: (July 2020 - December 2020)

Assurance Engagements

- Financial Procedures Engagements
- Presidential Transition Audit – Savannah State University
- System–Wide Engagement – To Be Determined
- OneUSG Connect Implementation Review
- Network – End Point Security Monitoring

Special Project

- Quality Assurance

2021 Audit Plan: (January 2020 – June 2021)

Assurance Engagements

- Benefit Reconciliations
- Contract Authorization and Management
- Tuition and Fees
- Cybersecurity – Top 20 Controls
- GLBA Follow-Up

2021 Audit Plan Approval

- University System Office Audits: Attachment A
- Institutional Audits: Attachment B



AGENDA

COMMITTEE ON REAL ESTATE AND FACILITIES

May 8, 2020

<u>Agenda Item</u>	<u>Page No.</u>
---------------------------	------------------------

INFORMATION ITEMS

- | | | |
|----|------------------------------------------------------|---|
| 1. | Chancellor's Action | 1 |
| 2. | Real Estate Actions Taken within Delegated Authority | 2 |

CONSENT ITEMS

- | | | |
|----|------------------------------------------------------------------------------------------------------------------------------------------|---|
| 3. | Approval of Ground Lease and Rental Agreement, Project No. PPV-66-2001, Kennedy Hall, Georgia Southern University | 4 |
| 4. | Approval of Sub-Rental Agreement, Centennial Research Building, 400 Tenth Street NW, Atlanta, Georgia Institute of Technology | 5 |
| 5. | Approval of Sub-Rental Agreement, CODA Building, 756 West Peachtree Street, Eighth Floor South, Atlanta, Georgia Institute of Technology | 6 |

APPROVAL ITEMS

- | | | |
|----|---------------------------------------------------------------------------------------------------------------------------------------------|---|
| 6. | Approval of Amendment to Amended and Restated Master Lease Agreement between Augusta University and Augusta University Medical Center, Inc. | 7 |
| 7. | Naming of Junia J. Fambro Dining Center, Fort Valley State University | 8 |

AGENDA

COMMITTEE ON REAL ESTATE AND FACILITIES

May 8, 2020

1. Chancellor's Action

Pursuant to authority delegated by the Board, the Chancellor took the following action:

Action taken: On April 21, 2020, the Chancellor approved a request from President Kyle Marrero to authorize the execution of a rental agreement between the Board of Regents, as Landlord, and Junior Achievement of Georgia ("Junior Achievement"), as Tenant, for lease of space in the Armstrong Campus Student Recreation Center (the "Recreation Center") located at 11935 Abercorn Street in Savannah, for the use and benefit of Georgia Southern University ("GSOU"). This agreement will allow Junior Achievement to lease approximately 19,000 square feet of the Recreation Center and to construct and operate a Junior Achievement Discovery Center (the "Discovery Center") within the leased space. The initial term of this lease will tentatively commence on July 1, 2020 and end on June 30, 2021 at a rate of \$1.00 per year, with options to renew on an annual basis for ten (10) consecutive years at the same annual rental rate.

Understandings: In 2019, Junior Achievement submitted a proposal to GSOU for the construction of the Discovery Center, a model that creates opportunities for sixth graders to interact within a simulated economy. By leasing space on the Armstrong Campus for this purpose, GSOU will further connect with Savannah and its businesses while providing the area's sixth graders an early introduction to college life and GSOU in particular.

As part of a long-term plan, GSOU recently began making improvements to the Armstrong Sports Center, which was vacated as a result of consolidation. Upon completion of construction, Campus Recreation and Intramurals will relocate to the newly renovated space, opening the space needed to construct the Discovery Center within the Recreation Center, which totals approximately 26,000 square feet.

Junior Achievement has begun fundraising efforts for the capital investment of approximately \$5,000,000 needed to create the Discovery Center. The planned work will occur within interior spaces with no impact to the building envelope or infrastructure. Construction and operational costs will be the sole responsibility of Junior Achievement, but GSOU will continue to maintain the building envelope and infrastructure. The remaining 7,000 square feet in the Recreation Center will be utilized by GSOU for academic purposes.

GSOU staff will have the opportunity to review all documents associated with the planned construction but will not manage the project.



ARMSTRONG CAMPUS • SAVANNAH



Student
Recreation
Center

2. **Real Estate Action Taken within Delegated Authority**

The following are the real estate actions taken within the authority delegated by the Board to the Vice Chancellor for Facilities for the period beginning November 1, 2019 and ending March 31, 2020:

Acquisitions

<u>Institution</u>	<u>Location</u>	<u>Description</u>	<u>Purchase Price</u>
Kennesaw State University	Kennesaw, Georgia	Improved property - 3205 Campus Loop Road - 0.75 acres	Gift
Valdosta State University	Valdosta, Georgia	Improved property - 112 Georgia Avenue 0.304 acres	Gift

Lease as Tenant

<u>Institution</u>	<u>Location</u>	<u>Square Feet/Rent</u>	<u>Use</u>
Georgia Film Academy	Lawrenceville, Georgia	15,000 sf office \$13,325/month	Classroom Space License Extension
Georgia Institute of Technology	Colorado Springs, Colorado	514 sf office plus shared space \$4,250/month	GTRI Field Office Amendment to Reinstate and Extend Lease & Expand Space
Georgia Highlands College	Douglasville, Georgia	60,000 sf office \$1.00/annual	New location of GHC Douglasville Campus New Lease
Georgia Institute of Technology	Albany, Georgia	121 sf office \$121/month	Enterprise Innovation Institute New Lease
Georgia Institute of Technology	Dawsonville, Georgia	3,900 sf hanger 672 sf trailer pad, ramp/airfield space \$2,150/month	GTRI ATAS Laboratory Amendment to Reinstate and Extend Lease and Expand Space
Georgia Institute of Technology	Atlanta, Georgia	5,134 sf office \$12,835/month	GTRI Office Space New Lease

2. Real Estate Actions Taken within Delegated Authority (continued)

<u>Institution</u>	<u>Location</u>	<u>Square Feet/Rent</u>	<u>Use</u>
Georgia Southern University	Statesboro, Georgia	10,808 sf office \$1.00/annual	Business Incubator Space New Lease
Georgia Southern University	Metter, Georgia	7,072 sf office \$1.00/annual	Business Incubator Space New Lease
Kennesaw State University	Marietta, Georgia	420 parking spaces \$4,500/month	Parking for Marietta Campus New Lease
Kennesaw State University	Atlanta, Georgia	9,563 sf office \$23,907.50/month	Classroom & Office Space College of Professional Ed. New Lease
University of Georgia	Athens, Georgia	9,950 sf office \$18,241.67/month	UGA Law Community Clinics Lease Extension & Reduction of Space
University of North Georgia	Cumming, Georgia	10,304 sf office \$11,500/month	Classroom and Office Space MBA & Continuing Education Programs - Lease Extension
University of North Georgia	Gainesville, Georgia	400 parking spaces \$1.00/annual	Gainesville Ride-Share Lot Amendment to add electronic sign to leased area
University of North Georgia	Blue Ridge, Georgia	3,200 sf office \$2,703.42/month	Temporary Lease Extension until new Blue Ridge campus is completed
USG Economic Development/ University of Georgia	Atlanta, Georgia	488 sf office \$2,387.81/month	Office and Meeting Space New Lease

3. Approval of Ground Lease and Rental Agreement, Project No. PPV-66-2001, Kennedy Hall, Georgia Southern University

Recommended: That the Board declare approximately 4.13 acres of real property (the “Property”) on the Statesboro campus of Georgia Southern University (“GSOU”) to be no longer advantageously useful to GSOU or other units of the University System of Georgia, but only to the extent and for the purpose of allowing this real property to be ground leased to Georgia Southern University Housing Foundation Five, LLC (the “Foundation”), or an affiliated special-purpose entity created for this transaction, for the renovation of an existing student housing facility and related amenities (the “Project”).

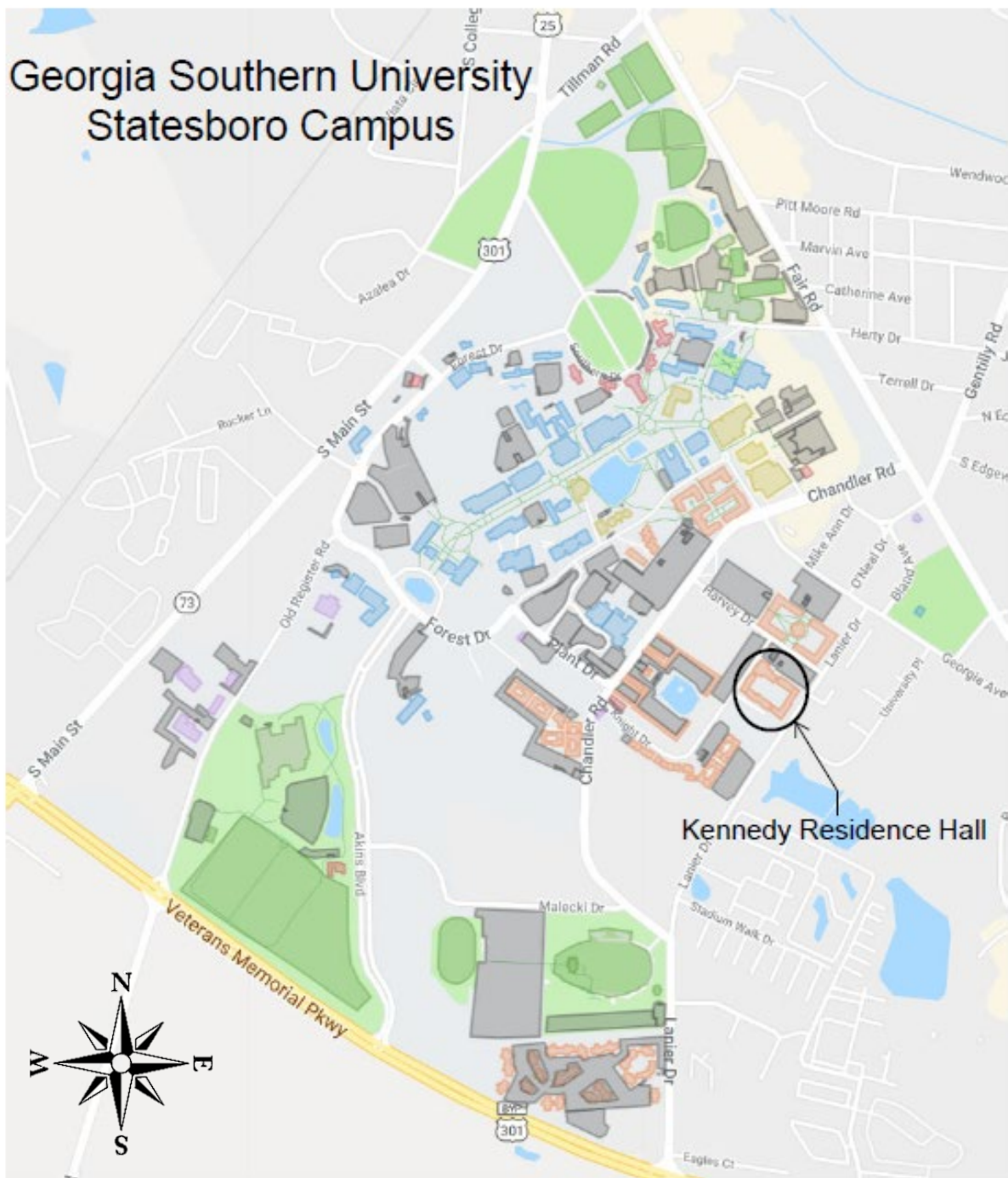
Recommended further: That the Board authorize the execution of a ground lease (the “Ground Lease”) of the Property and the grant of any necessary access and use easements between the Board of Regents, as Lessor and Grantor, and the Foundation, as Lessee and Grantee, for a construction term not to exceed fourteen (14) months and a primary term not to exceed thirty (30) years from the date the Certificate of Substantial Completion is obtained for the Project, along with an option to renew the Ground Lease for up to five (5) additional years should there be debt outstanding at the end of the primary term.

Recommended further: That the Board authorize the execution of a rental agreement between the Foundation, as Landlord, and the Board of Regents, as Tenant, for an initial term commencing on the first day of the month after the Project receives a Certificate of Substantial Completion and ending the following June 30 at a base rent not to exceed \$1,250,000 per year, along with options to renew annually for up to twenty-nine (29) consecutive, one-year periods.

Understandings: Constructed in 1998 with state general obligation bonds, Kennedy Hall is a 152,615-square-foot student housing complex containing 426 beds in a suite style configuration. Failures in the plumbing and HVAC systems over the past several years have combined to create moisture problems in the complex. To remedy these issues, the Project will include repairs to the building envelope, upgrades of the facility’s mechanical systems and replacement of damaged interior finishes.

Cost of the Project, which was authorized by the Board in October 2019, will total approximately \$16,400,000. The Foundation will contract for, and facilitate the planning, design and construction of, the Project. The Project will be financed with lease revenue bonds, which are expected to be secured in June 2020. Construction is expected to commence immediately thereafter, with completion expected by July 2021.

At the end of the term of the Ground Lease and/or upon termination of the associated financial obligations, the Project, or portions thereof, and all associated real property, improvements and remaining capital reserves would revert to the Board for the continued benefit of GSOU.



GEORGIA SOUTHERN
UNIVERSITY

4. **Approval of Sub-Rental Agreement, Centennial Research Building, 400 Tenth Street NW, Atlanta, Georgia Institute of Technology**

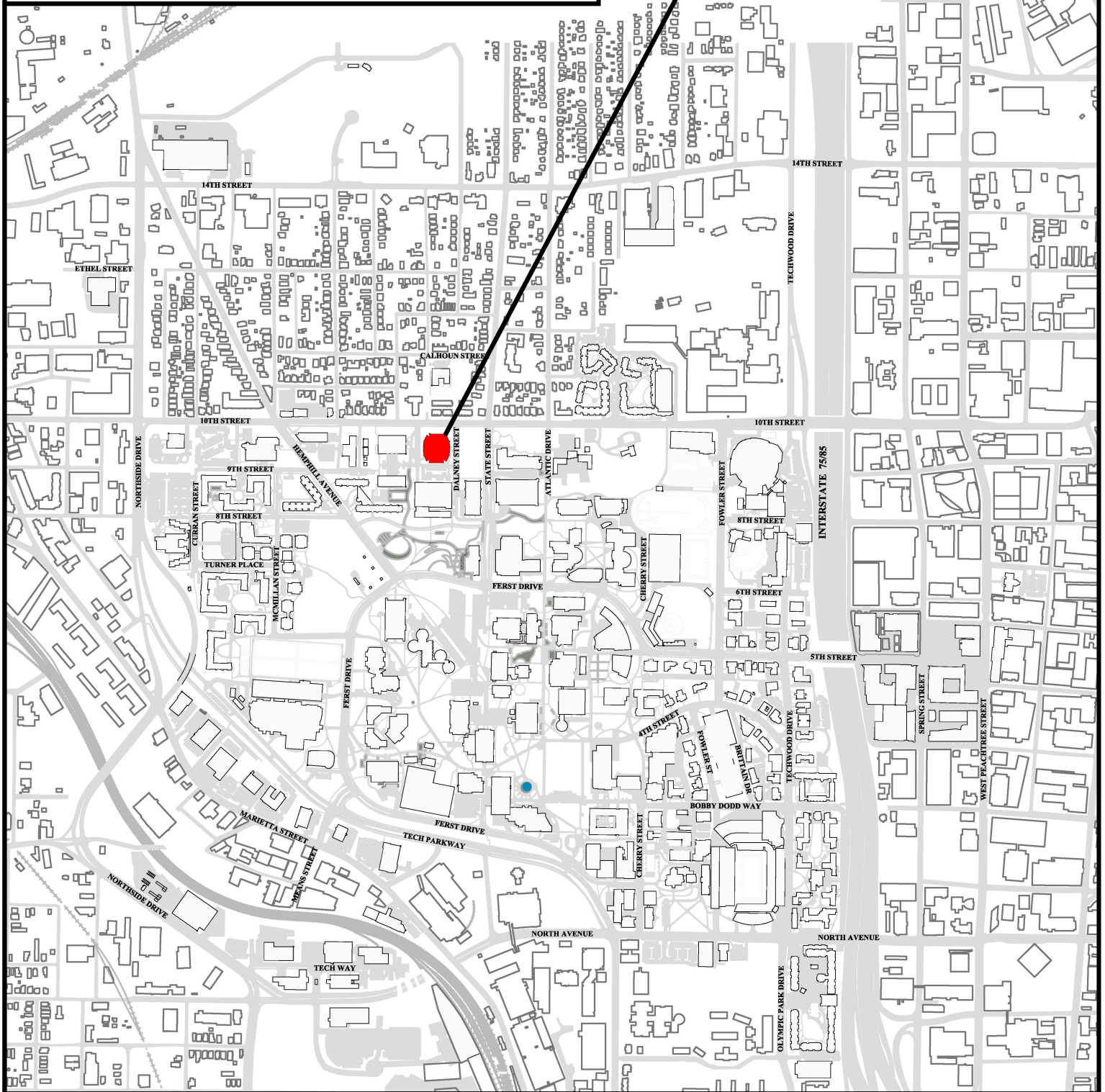
Recommended: That the Board authorize the execution of a sub-rental agreement between the Georgia Tech Research Corporation (“GTRC”), as Sub-Landlord, and the Board of Regents, as Sub-Tenant, for the lease of Centennial Research Building (“CRB”) located at 400 Tenth Street NW in Atlanta, for the use and benefit of the Georgia Institute of Technology (“GIT”). This agreement would allow for GIT’s continued lease of CRB, which encompasses approximately 198,621 square feet (“SF”), at a monthly rental amount of \$125,870 (\$1,510,440 per year annualized/\$7.60 per SF) for the period commencing July 1, 2020, and ending June 30, 2021. The agreement would include options to renew on an annual basis for eight (8) consecutive years ending on June 30, 2029.

Understandings: In March 1985, the Board authorized GIT’s lease of approximately 44,312 SF in CRB. Following Board approval in June 1995, GIT began leasing the entire building and continues to do so today. The final term of the current agreement, which was amended pursuant to Board authorization in January 2009, expires June 30, 2020.

Under the new lease agreement, the Georgia Tech Research Institute (“GTRI”) would continue to use CRB for Cybersecurity, Information Protection, and Hardware Evaluation Research (“CIPHER”), Advanced Concepts Laboratory (“ACL”), Electronic Systems Laboratory (“ELSYS”) and the GTRI Communications Department.

The base rent for CRB has not increased since 1995 and would remain at current levels throughout all terms of the proposed agreement. GIT would remain responsible for operating expenses, including utilities, maintenance, janitorial, taxes, trash removal and pest control, which are estimated to total \$1,047,358.08 per year annualized in Fiscal Year 2021. In addition, the new lease agreement would require reimbursing the Sub-Landlord for planned building envelope improvements to include exterior seal repairs and replacement of the original roof and numerous broken windows. This reimbursement would occur through a special assessment paid in monthly installments of approximately \$15,750.51 over the full term of the agreement.

Centennial Research Building



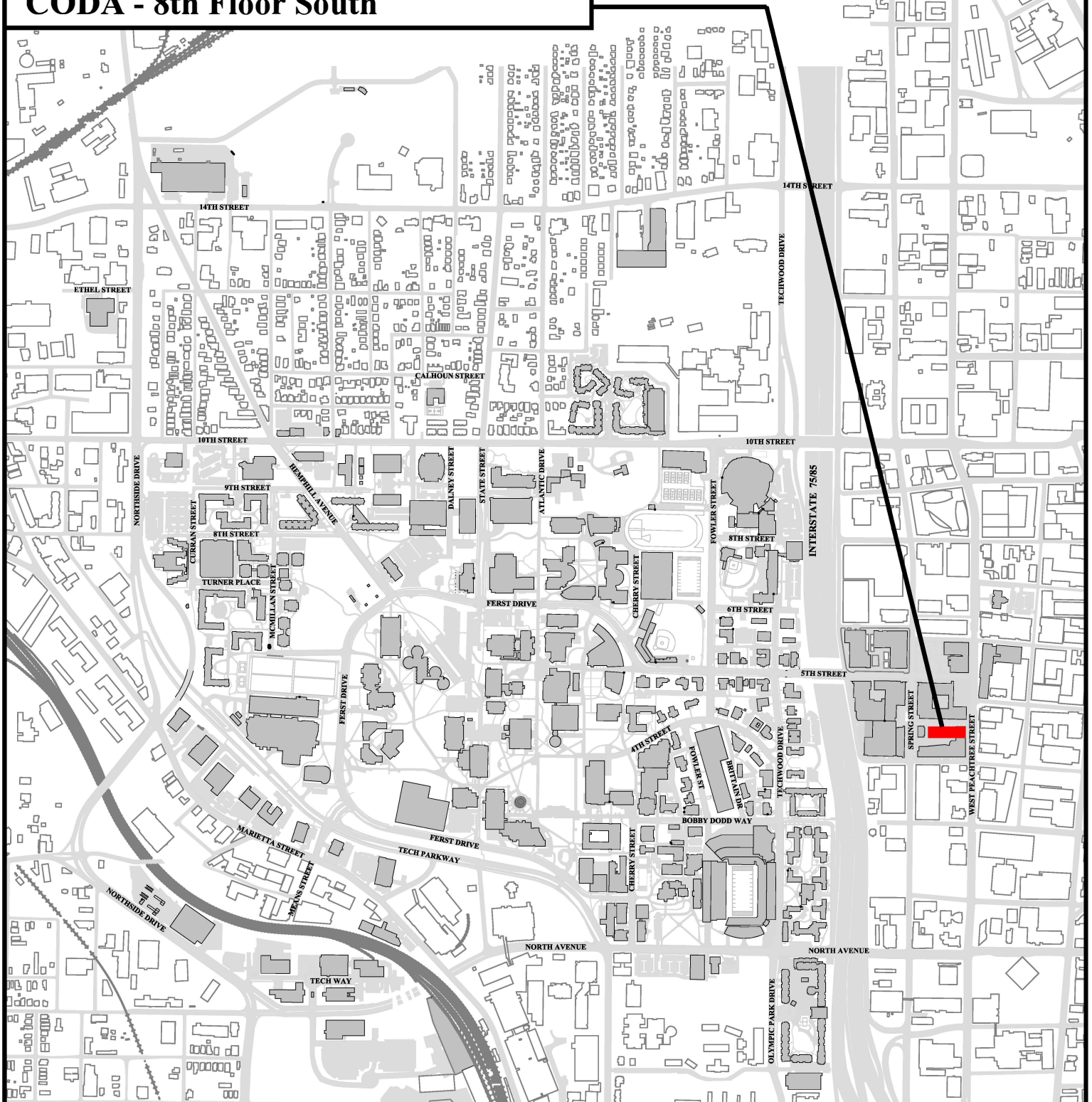
5. Approval of Sub-Rental Agreement, CODA Building, 756 West Peachtree Street, Eighth Floor South, Atlanta, Georgia Institute of Technology

Recommended: That the Board authorize the execution of a sub-rental agreement between the Georgia Tech Research Corporation (“GTRC”), as Sub-Landlord, and the Board of Regents, as Sub-Tenant, for lease of office space in the CODA Building (“CODA”) located at 756 West Peachtree Street in Atlanta, for the use and benefit of the Georgia Institute of Technology (“GIT”). This agreement would allow GIT to lease approximately 22,897 square feet (“SF”) on Eighth Floor South in CODA at a net monthly rental amount of \$51,688.07 (\$620,257.83 per year annualized/\$27.089 per SF) for a period commencing in the fourth quarter of calendar year 2020, and ending June 30, 2021. The agreement would include options to renew on an annual basis for thirteen (13) consecutive years ending on June 30, 2034, with base rent increasing 3% per year.

Understandings: Due to growing demand for ready access to classified space as part of their services, the Georgia Tech Research Institute (“GTRI”) needs additional office and lab space proximate to the existing classified space to support these operations. This proposed lease would allow GIT to relocate GTRI’s Talent Management staff and its Robotics and Autonomous Systems Division (“RASD”) to expansion space available in CODA. In doing so, office and lab space in GTRI’s 14th Street Headquarters and the Centennial Research Building (“CRB”) would become available to researchers that need to work on Department of Defense programs and access existing classified space at CRB. Furthermore, the space in CODA would allow for expansion of the growing RASD program, which employs many graduate students and works closely with other research neighborhoods located within CODA.

GIT would be responsible for reimburse the Sub-Landlord for operating expenses, which would be capped at \$194,624.50 per year annualized (\$8.50 per SF) in the initial term of the agreement. In addition, the new lease agreement would include a special rent assessment not to exceed \$98,457.10 per year annualized (\$4.30 per SF) payable monthly over approximately 8.5 years.

CODA - 8th Floor South



6. Approval of Amendment to Amended and Restated Master Lease Agreement between Augusta University and Augusta University Medical Center, Inc.

Recommended: That the Board authorize the execution of an amendment to the Amended and Restated Master Lease Agreement (the “MLA Amendment”) between the Board of Regents of the University System of Georgia (the “Board of Regents”) and Augusta University Medical Center Inc. (“AUMC”), formerly known as MCG Health, Inc, to base the annual service fee payment to the Board of Regents on the net income of Augusta University Health System, Inc. (“AUHS”) for operations of the entire health system instead of the sole net income of AUMC for the Eugene Talmadge Memorial Hospital and related clinics (“AU Medical Center”).

Recommended further: That the Board authorize the execution of an amendment to the Amended and Restated Master Affiliation Agreement; the Research, Education, and Professional Services Agreement (“REPSA”); the Operations and Services Agreement (“OSA”), and any other related agreements, between the Board of Regents, AUMC, and AUHS (collectively, the “Associated Agreements”) to conform the Associated Agreements to the Amendment, and also eliminate the payment apportionment of the annual nomenclature fee to Augusta University (“AU”) and Georgia Health Sciences Foundation (“GHSF”) and replace it with a single payment to AU.

Understandings: At its August 10, 2016 meeting, the Board approved entering into an Amended and Restated Master Lease Agreement with AUMC to extend the term of the lease to forty (40) years and provide for the payment of an annual base rent and annual service fee from AUMC to AU in exchange for the use of teaching hospital facilities, clinical facilities, and other related medical facilities located in Augusta that comprise an integrated health system supporting the educational and research mission of AU and providing health services to the citizens of Georgia.

In 2018, as a result of corporate restructuring, AUHS became the sole member of AUMC and through an amendment to the Master Affiliation Agreement was empowered to act for AUMC in operating the health system. Under this corporate structure, AUMC’s financial performance of AU Medical Center is reported and included in the consolidated financial statements of AUHS. The MLA Amendment would expand the basis for the calculation of the annual service fee to reflect AUHS’ net income for the entire health system instead of that solely for AU Medical Center.

Additionally, the Associated Agreements allow AUMC and AUHS to use the AU brand and trademarks in its operations of the health system in exchange for payment of an annual nomenclature fee to AU and GHSF. In particular, the REPSA requires that AUMC pay the annual nomenclature fee as two separate payments each fiscal year in the amount of \$3,500,000 to AU and another payment in the amount of \$500,000 to GHSF. An amendment to REPSA would consolidate the payment structure to a single payment of \$4,000,000 each year to AU and eliminate any payment to GHSF. This revised payment structure would result in administrative efficiencies and increased direct support to AU.

7. Naming of Junia J. Fambro Dining Center, Fort Valley State University

Recommended: That the Board approve the naming of the student dining hall at Fort Valley State University (“FVSU”) as “Junia J. Fambro Dining Center” in recognition of the outstanding service of alumna and former staff member, Junia Jordan Fambro.

Understandings: President Paul Jones confirms that this naming conforms to the FVSU naming guidelines and with the Board of Regents naming policy.

After attending Columbia University, Hampton Institute and Atlanta University, Junia J. Fambro began working at Fort Valley High and Industrial School in the fall of 1925. Initially an instructor of domestic science, she was appointed dietician and manager of the campus dining hall in 1932. Currently known as the Food Service Center, the student dining hall was constructed in 1972 and is in the final stages of a comprehensive renovation.

After over four decades working on FVSU’s campus, Ms. Fambro retired in 1969. Having graduated from the institution in 1942, she was inducted into the FVSU National Alumni Hall of Fame in 1984. Active in her community, she was one of the founding members of the Fort Valley’s chapter of The Links, Incorporated, a women’s volunteer service organization committed to enriching, sustaining and ensuring the cultural and economic survival of African Americans and other persons of African ancestry. Prior to her passing, she established a scholarship in honor of her father, the late Reverend A. M. Jordan, awarded annually to a student employed in the Food Service Center who sets the best example of all-around excellence as a worker and maintains a good scholastic average.



AGENDA
COMMITTEE ON ACADEMIC AFFAIRS
May 8, 2020

<u>Agenda Item</u>	<u>Page</u>	<u>No.</u>
ACTION ITEMS:		
I. <u>Institutional Mission</u>		
1. Georgia Institute of Technology		3
2. Fort Valley State University		4
II. <u>Academic Programs</u>		
<u>New Program Request(s):</u>		
3. Establishment of a Nexus Degree in Governmental and Not-for-Profit Accounting, Clayton State University		6
4. Establishment of a Nexus Degree in Supply Chain in Financial Technology, Georgia Highlands College		7
5. Establishment of a Nexus Degree Professional Sound Design for Film and Television, Georgia Gwinnett College		8
6. Establishment of a Bachelor of Arts in Nonprofit Leadership and Administration, Augusta University		9
7. Establishment of a Bachelor of Science with a major in sustainability science, Georgia Southern University		10
8. Establishment of a Bachelor of Arts in Game Design, Georgia State University		12
9. Establishment of a Bachelor of Science in Game Development, Georgia State University		13
10. Establishment of a Bachelor of Science with a major in health and wellness, Gordon State College		15
11. Establishment of a Master of Science in Athletic Training, Georgia Southern University		17
12. Establishment of a Doctor of Philosophy in Criminal Justice, University of North Georgia		18

Program Termination Request:

13. Kennesaw State University	20
a. Bachelor of Science Civil Engineering Technology	
b. Bachelor of Science with a major in computer science	
c. Bachelor of Science in Telecommunications Engineering Technology	
d. Master of Science in Accounting	
e. Master of Science in First Year Studies	
14. University of Georgia	21
f. Doctor of Philosophy (PhD) Interdisciplinary Biomedical Sciences	
15. University of West Georgia	21
g. Master of Education in Business Education	

Border Waivers

16. Georgia Southern University	22
17. East Georgia State College	23

CONSENT ITEMS:

Named/Endowed Faculty Positions:

18. Named Faculty Positions	24
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1. Institutional Mission Change

Recommended: That the Board approve the request by President Àngel Cabrera that Georgia Institute of Technology (“GIT”) revise its institutional mission statement, effective May 12, 2020.

Abstract: Over the past year, Georgia Institute of Technology developed a new strategic plan to set the course for their future over the next ten years. Through a broad and inclusive process, they engaged internal and external stakeholders. This resulted in over 5,700 interactions which provided input to the drafting of the new institutional mission.

Current Mission Statement: Technological change is fundamental to the advancement of the human condition. The Georgia Tech community-students, staff, faculty, and alumni-will realize our motto of “Progress and Service” through effectiveness and innovation in teaching and learning, our research advances, and entrepreneurship in all sectors of society. We will be leaders in improving the human condition in Georgia, the United States, and around the globe.

Proposed Mission Statement: The Georgia Institute of Technology is a public research university established by the state of Georgia in Atlanta in 1885 and committed to *developing leaders who advance technology and improve the human condition.*

2. Institutional Mission Change

Recommended: That the Board approve the request by President Paul Jones that Fort Valley State University (“FVSU”) revise its institutional mission statement, effective May 12, 2020.

Abstract: Per BOR Policy 2.10 (Institutional Mission), Fort Valley State University (FVSU) is requesting a Type 1 Mission Change. During the summer of 2019, FVSU embarked on a new strategic planning process for its 2020-2025 Strategic Plan. As part of this process, the University reviewed its mission, vision and value statements to determine their appropriateness in expressing who we are, and our vision for the future. In light of the current monumental changes thrust upon us by the Coronavirus pandemic, our strategic reflection on how better to fulfill our mission could not have been timelier! Following the campus strategic planning efforts, the Strategic Planning Steering Committee recommended a change to the current mission, indicating the mission was too long and needed to be updated to reflect the current environment and institutional focus.

Current Mission Statement: The mission of Fort Valley State University is to advance the cause of education with emphasis upon fulfilling commitments that our community members have undertaken collectively. As an institution of the University System of Georgia, Fort Valley State University naturally embraces the principles articulated by the Core Mission Statement for State Universities as approved by the Board of Regents of the University System of Georgia. The university’s primary commitments include, among others, enhancement of teacher training programs grounded upon a liberal arts foundation, as reflective of over 110 years of experience and tradition. Additionally, the university recognizes with great pride and desires to further its responsibilities as Georgia’s 1890 Land-Grant institution by offering programming excellence in agriculture, agribusiness, family and consumer sciences, extension, and military science, as well as to further its traditions of excellence in programs in the liberal arts and humanities, social sciences, and natural and physical sciences.

The university’s primary commitments extend, as well, to:

- Community outreach through the concept of the “communiversity,” an approach that highlights the connection of community and university;
- Expanding service beyond the campus, as well as within, so that the institution addresses in a meaningful manner the broad diversity—human and technical—of needs in our home region and state as well as nationally and internationally;
- Sparking within our students an enduring interest in learning and providing the tools and skills necessary to maintain that interest through life;
- Preparing students through a mentoring approach for the opportunity to serve their fellow man while enjoying the opportunity provided by hard work and achievement to live the quality of life inherent in the American dream;
- Encouraging and supporting creative expression, innovation, honesty, and integrity as endeavors of lasting and intrinsic merit;

2. Institutional Mission Change (Continued)

- Providing a productive environment for cutting-edge academic and practical research in, among other fields, agriculture, aquaculture, veterinary medicine, biotechnology, energy, environment, social and behavioral sciences, and the humanities; and
- Otherwise acting to enlighten, enrich, and inspire by example those whom we serve.

Proposed Mission Statement: *Fort Valley State University, founded in 1895, is an HBCU and 1890 Land-Grant institution providing excellent academic programs that integrate quality instruction, research, extension, and student learning experiences responsive to the needs of a culturally and economically diverse student population equipped to excel in the 21st- century global community.*

3. Establishment of a Nexus Degree in Governmental and Not-for-Profit Accounting, Clayton State University

Recommended: That the Board approve the request from President Tim Hynes that Clayton State University (“CLSU”) be authorized to establish a Nexus Degree in Governmental and Not-for-Profit Accounting, effective May 12, 2020.

Need and Demand: There is currently concern in the governmental accounting area over future staffing needs. Recent articles from the Journal of Governmental Financial Management have highlighted the need for more accounting graduates to choose governmental accounting as a career path. In short, there is an expected retirement wave of older workers (“baby boomers”) without new graduates to replace them.

Program Summary: The goal of the Nexus Degree in Governmental and Not-for-Profit Accounting at Clayton State University is to provide the students with necessary knowledge, skills, and hands-on experiential learning with accounting practices and auditing issues in both the governmental and not-for-profit entities, along with the ability to measure and control the financial performance of both governmental and not-for-profit organizations using managerial accounting techniques. The internship program for the Nexus Degree in Governmental and Not-for-Profit Accounting is comprised of a minimum of two internship courses (6 credit hours). These internships are designed to provide the student with a substantial experiential learning experience and the potential for employment after completion of the degree. We currently are focusing on internships in the state and local governmental area with the intention of expanding the internship opportunities for our students in other non-profit areas such as hospitals and voluntary health and welfare organizations. In addition, the Association of Governmental Accountants who have agreed to provide mentors for our students as well as provide a list of internship opportunities for our students.

Fiscal and Physical Resources: None

Accountability: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution’s schedule of comprehensive program reviews.

4. Establishment of a Nexus Degree in Supply Chain in Financial Technology, Georgia Highlands College

Recommended: That the Board approve the request from President Don Green that Georgia Highlands College (“GHC”) be authorized to establish a Nexus Degree in Supply Chain in Financial Technology, effective May 12, 2020.

Need and Demand: According to Georgia.org (<https://www.georgia.org/>), the Fin Tech industry has placed Georgia as a world leader due to the number of industry leaders/companies located in the state. The top 50 Georgia-based FinTech companies generate annual revenue of more than \$72 billion; 6 of the 10 largest US payment processing firms are headquartered in the state; More than 30,000 professionals in Georgia (and over 130,000 globally) are employed by Georgia-based FinTech firms; These companies process over 118 billion transactions (over \$2 trillion) per year supporting nearly 4 million merchants. There are currently over 3,000 positions posted on “indeed”.com for the Atlanta region.

Program Summary: Georgia Highlands College is utilizing the course work designed by the Georgia FinTech Academy. Students take specified courses and complete a work-based learning experience, which offers academic credit along with real-world understanding. GHC students will focus on supply chain management alongside of the Fin Tech courses. This program is 42 hours of core curriculum and 6 hours of an in-depth experiential learning and 12 hours of upper level courses in fin tech and supply chain management.

Fiscal and Physical Resources: None

Accountability: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution’s schedule of comprehensive program reviews.

5. Establishment of a Nexus Degree Professional Sound Design for Film and Television, Georgia Gwinnett College

Recommended: That the Board approve the request from President Jann Joseph n that Georgia Gwinnett College (“GGC”) be authorized to establish a Nexus Degree Professional Sound Design for Film and Television, effective May 12, 2020

Need and Demand: Georgia Gwinnett College (GGC) is a short 45 minute drive from many of the studios in Atlanta. More importantly, Gwinnett County is also home to both Eagle Rock Studios in Norcross and the forthcoming Atlanta Media Campus and Studio along the Jimmy Carter Boulevard Corridor, which upon completion, is said to be the largest TV studio under one roof, with the nation’s biggest studio backlot, Reflective of the diversity in Gwinnett County, GGC was named the most ethnically diverse college in the South by *U.S. News and World Report* (2015, 2016). The student population at Georgia Gwinnett College reflects this diversity as a “minority-majority” institution *with* 59.8% of its students identified as minority students. Additionally, 56.2% of the student body is composed of female attendees.

Moreover, Georgia Gwinnett College is an open-access institution that the challenge of preparing students from all levels of college preparedness. GGC started their Bachelor of Arts in Cinema & Media Arts Production Program in 2017. In their first year of offering the program they had 2 students, the second year their enrollment jumped to 288 students and in the third year to 483 students.

Program Summary: The curriculum in professional sound design encompasses 42 credit hours in general education and 18 credit hours in highly specialized courses which includes 12 upper division credit hours. Examples of upper division course work include: GFA 3140 Introduction to Sound Design with Avid Pro Tools 100, GFA 4000 On-Set Internship 4000 OR GFA 4140 Advanced Sound Design with Avid Pro Tools 200.

Fiscal and Physical Resources: None - GGC is utilizing the content built by the Georgia Film Academy and is working in conjunction with them. GGC has the SACSCOC credential faculty to teach this program.

Accountability: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution’s schedule of comprehensive program reviews.

6. Establishment of a Bachelor of Arts in Nonprofit Leadership and Administration, Augusta University

Recommended: That the Board approve the request of President Brooks A. Keel that Augusta University (“AU”) be authorized to establish a Bachelor of Arts in Nonprofit Leadership and Administration, effective May 12, 2020.

Need/Demand: According to the U.S. Department of Labor’s Bureau of Labor Statistics, the nation’s nonprofit sector employs 12.3 million workers, which is 10.2 percent of private sector employment. In the state of Georgia, the nonprofit sector employs 3.6 million workers, which represents 7.4 percent of the state’s private workforce. The nonprofit sector is the third largest industry in the U.S. economy with only retail and manufacturing being larger. Given the applied nature of nonprofit management, students will be required to complete an internship. Graduates of the program will be prepared to seek employment in nonprofit organizations and/or continue their studies in graduate programs that focus on public service, such as MPA programs. Most of the academic programs in nonprofit administration are at the graduate-level. However, many positions available in nonprofit organizations require a bachelor’s degree and not a master’s degree. The BA in Nonprofit Leadership and Administration will serve the student population who want to work in the nonprofit sector upon earning their bachelor’s degrees.

Program Summary: The program is a 120-credit hour program that will educate students to be professionals in nonprofit organizations. Students will learn key theories in areas of nonprofit administration and finance, marketing fundraising, research and writing in the discipline. Graduates will be required to complete an internship. The proposed program offers impactful partnerships with other programs and colleges across the university. The program will be offered online and in hybrid format. Only one new course is planned to be developed. The proposed BA in Nonprofit Leadership and Administration undergraduate degree at AU will be a unique curricular offering for the University System of Georgia (USG) as the first baccalaureate level program designed as a stand-alone degree.

List of USG Similar Programs: There are no other institutions in the USG that offer an undergraduate degree specifically in nonprofit administration. All USG research institutions offer nonprofit programming the majority at the graduate level or in form of certificates.

Fiscal and Facilities: The new program will not require additional faculty. Existing faculty have expertise and qualifications to teach the courses within the program. The program will utilize space in Allgood Hall and University Hall that is currently in use for the courses that comprise the proposed program. These courses are already being offered for other academic degrees. No new classrooms will be needed.

Assessment: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution’s schedule of comprehensive program reviews.

7. **Establishment of a Bachelor of Science with a major in sustainability science, Georgia Southern University**

Recommended: That the Board approve the request of President Kyle Marrero that Georgia Southern University (“GASOU”) be authorized to establish a Bachelor of Science with a major in sustainability science, effective May 12, 2020.

Need/Demand: The proposed BS degree with a major in sustainability science will be the only undergraduate degree of its kind in the state. Graduates will be equipped with the writing, math, presentation, technical, and essential skills that are critical for success in today’s economy, and will be prepared for jobs in industry, government, non-profit, and/or educational sectors. Data from the Bureau of Labor Statistics indicates that *employment opportunities in environmental science are expected to grow faster (12%) than the national average for all occupations (7%) and grow by 4% in Georgia*. Georgia’s growing workforce needs this high-quality baccalaureate degree program to help industry and government ensure that Georgia’s unique and abundant natural resource landscape can be safely developed and maintained as our region rapidly increases in population. One of the unique aspects of this bachelor’s program resides in its geographic focus on the Atlantic Coastal Plain. Conservation International named the North American Coastal Plain (spanning from northern Mexico to Massachusetts) as its newest biodiversity hotspot in 2016. This recent recognition is significant because despite being geographically large (1.13 million km²) and biologically diverse (1,816 endemic plants & 47% endemism of amphibian species), this region was formerly assumed to be less biodiverse than other hotspots due to lower levels of geographic variation and elevation change.

Program Summary: Sustainability science is an interdisciplinary STEM field integrating physical, biological, earth, chemical, and geographical information sciences. It seeks to understand, mitigate, and prevent human caused environmental problems with the ultimate goal of promoting sustainable use of natural resources. This program will 1) address the growing statewide and national demand for professionals trained in interdisciplinary STEM fields with a focus on the science of preservation and sustainability, 2) produce graduates who are qualified to regulate and protect the abundant natural resources that fuel Georgia’s vibrant industrial, agricultural, and business economies, and 3) align with the University System of Georgia’s and the institution’s focus on environmental sustainability and support of our region’s coastal natural resources.

7. Establishment of a Bachelor of Science with a major in sustainability science, Georgia Southern University (Continued)

List of USG Similar Programs¹:

This will be the first bachelor's degree in the field of sustainability science.

Institution	AY 2017	AY 2018	AY 2019	3 Year Average
Georgia College and State University ²				
Enrollment	99	116	120	111.7
Graduation	28	16	27	23.7
Kennesaw State University ²				
Enrollment	133	135	173	147.0
Graduation		11	8	---
University of Georgia ²				
Enrollment	24	31	27	27
Graduation	3	4	4	3.7

¹ Qlik

² Bachelor of Science with major in environmental science

³ UGA has several degrees with the field of environmental science with other fields

Fiscal and Facilities: Georgia Southern University reallocated two currently funded faculty lines which were vacant and realigned them to this new degree program. No new faculty lines were created. No new facilities or equipment are needed to establish this degree program.

Assessment: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution's schedule of comprehensive program reviews.

8. Establishment of a Bachelor of Arts in Game Design, Georgia State University

Recommended: That the Board approve the request of President Dr. Mark P. Becker, that Georgia State University (“GSU”) be authorized to establish a Bachelor of Arts in Game Design, effective May 12, 2020.

Need/Demand: According to Nielsen, in the United States alone, more than 64% of the general population are gamers. More than 30% of the world’s population actively play video games (Newzoo). In 2018, the gaming industry generated roughly \$135 billion in revenue. Bureau of Labor Statistics (BLS) has estimated that demand for multimedia artists and animators will modestly grow in the next decade (from a 2016 estimate of 73,700 nationally to a 2026 projected number of 79,900: 8% growth).

Program Summary: The proposed face to face program is 120 credit hours. The program is designed for either full or part time study. The existing Bachelor of Arts in Interdisciplinary Studies (BSI) at GSU will be divided into two undergraduate majors, a BS in Game Development and a BA in game design. Existing students will be divided among the two degrees per student selection. A key goal is that each student will graduate with a career portfolio that documents emerging technical skill, evidence of work on client-based or industry-connected projects (as opposed to simply documenting academic work), and organizational abilities. Program courses will be coordinated so that students can make the portfolio more than a collection of one-off class assignment work, and it will be finalized in a required senior seminar.

List of Similar USG Programs

Institution	AY 2017	AY 2018	AY 2019	3-Year Average
Georgia Southern University BFA with Major in Graphic Design				
Enrollment	158	158	147	154.3
Graduation	22	27	32	27
Kennesaw State University BS in Computer Game Design and Development				
Enrollment	417	403	416	412
Graduation	36	33	39	36
University of North Georgia BS in Film and Digital Media				
Enrollment	104	143	143	167
Graduation	15	30	52	32

Fiscal and Facilities: No additional space or renovation is needed. An existing program will divest into two distinct programs, as there previously was ample classroom space no additional costs for space or renovation are needed. The new Creative Media Industries Institute building was fully renovated on privately raised funds so as to handle the needs of this emerging program.

Assessment: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution’s schedule of comprehensive program reviews.

9. Establishment of a Bachelor of Science in Game Development, Georgia State University

Recommended: That the Board approve the request of President Dr. Mark P. Becker, that Georgia State University (“GSU”) be authorized to establish a Bachelor of Science in Game Development, effective May 12, 2020.

Need/Demand: According to Nielsen, in the United States alone, more than 64% of the general population are gamers. More than 30% of the world’s population actively play video games (Newzoo). In 2018, the gaming industry generated roughly \$135 billion in revenue. The Bureau of Labor Statistics (BLS) has estimated that demand for multimedia artists and animators will modestly grow in the next decade (from a 2016 estimate of 73,700 nationally to a 2026 projected number of 79,900; 8% growth). Other sources project more significant growth; Career Explorer estimates that, taking into account closely associated allied fields, an estimated 287,200 video game designers work in the United States, and they project that number to grow by 9.3% in the next decade. While the Bureau of Labor Statistics predicts demand for computer programmers will slump between now and 2028 (from total 2018 employment of 250,300 to 232,300 in 2028), they also predict that demand for software developers will grow between now and 2028 by 21%, adding nearly 300,000 new positions between now and then.

Program Summary: The proposed face to face program is 120 credit hours. The program is designed for either full or part time study. The existing Bachelor of Arts in Interdisciplinary Studies (BSI) at GSU will be divided into two undergraduate majors, a BS in Game Development and a BA in Game Design. Existing students will be divided among the two degrees per student selection. A key goal is that each student will graduate with a career portfolio that documents emerging technical skill, evidence of work on client-based or industry-connected projects (as opposed to simply documenting academic work), and organizational abilities. Program courses will be coordinated so that students can make the portfolio more than a collection of one-off class assignment work, and it will be finalized in a required senior seminar.

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University of North Georgia BS in Film and Digital Media				
Enrollment	104	143	143	167
Graduation	15	30	52	32

9. Establishment of a Bachelor of Science in Game Development, Georgia State University (Continued)

Fiscal and Facilities: No additional space or renovation is needed. An existing program will divest into two distinct programs, as there previously was ample classroom space no additional costs for space or renovation are needed. The new Creative Media Industries Institute building was fully renovated on privately raised funds so as to handle the needs of this emerging program.

Assessment: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution's schedule of comprehensive program reviews.

**10. Establishment of a Bachelor of Science with a major in health and wellness,
Gordon State College**

Recommended: That the Board approve the request of President Dr. Kirk A. Nooks, that Gordon State College (“GSC”) be authorized to establish a Bachelor of Science with a major in health and wellness, effective May 12, 2020.

Need/Demand: The Bachelor of Science with a major in health and wellness is an interdisciplinary degree program designed for students who are seeking professional careers in non-clinical health fields. The Qlik data for USG institutions offering similar degrees shows substantial increase in the number of students seeking a CIP code 50.000 degree (Health Science/Health and Wellness) suggesting that students are aware of the potential job market for this degree and that the degree meets their needs. GSC believes that its students also recognize this potential job market and will continue their studies at Gordon if such a degree is offered. This will help offset the 54% transfer out (IPED data) that Gordon now has and help GSC to achieve its goal of awarding 70% Baccalaureate degrees and 30% Associate degrees. According to IPED data, GSC has a 54% transfer out rate of degree seeking students. IPED data also shows that, on average, that only 18 % of full time and part time students receive an award. The new degree program will help retain students to GSC to pursue a popular bachelor's degree program.

Projections Central, (<http://www.projectionscentral.com>) predicted Georgia will need graduates to fill key positions within the health and wellness system, including Healthcare Social Workers (17.6% increase), Healthcare Support Workers (13.3% increase), Health Educators (12.5% increase), Health Specialties postsecondary (19.0% increase) and Fitness Trainers (14.1% increase). All increases are employment needs from 2016 to 2026.

Program Summary: The program is 120 credit hours and utilize hybrid delivery (online and face to face). The program is focused on rural health and includes a requirement of an internship. It is designed for flexibility for students who wish to transfer into this program. It has built opportunities for coursework in another major such as nursing, business, and others to not lose credit already earned.

List of Similar USG Programs¹:

Institution	AY 2017	AY 2018	AY 2019	3-Year Average
Dalton State College BS with Major in Health and Wellness				
Enrollment	0	60	373	
Graduation (Established 5/15/2018)	0	0	0	
University of West Georgia BS in Health and Community Wellness				
Enrollment	255	347	366	322
Graduation	19	31	51	33

¹ Qlik

**10. Establishment of a Bachelor of Science with a major in health and wellness,
Gordon State College (Continued)**

Fiscal and Facilities: No additional resources are needed.

Assessment: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution's schedule of comprehensive program reviews.

11. Establishment of a Master of Science in Athletic Training, Georgia Southern University

Recommended: That the Board approve the request of President Kyle Marrero that Georgia Southern University (“GASOU”) be authorized to establish a Master of Science in Athletic Training, effective May 12, 2020.

Need and Demand: According to Occupational Outlook Handbook 2018, the projected annual growth rate for athletic trainers nationally (2016 through 2026) is 23% compared to 12% for other healthcare practitioners and technical occupations and 7% for all occupations. This employment demand plus the recent mandate by the Athletic Training boards initiated the new program proposal. The four certifying agencies for Athletic Training, the National Trainers Association (NATA), the Board of Certification (BOC), the Commission on accreditation of Athletic Training Education *CAATE) and the Research Foundation mandated that all accredited athletic training programs move to graduate level program status. Georgia Southern University is currently teaching out the Bachelor of Science students toward compliance with national standards. The last students enrolled in the BS program will graduate May 2022.

Program Summary: The proposed Master of Science in Athletic Training program is designed to prepare graduates to function collaboratively with physicians and other health care team members to manage health care problems associated with physical activity in secondary schools, colleges and universities, professional sports programs, sports medicine clinics, and/or other health care settings. The program is designed for full time enrollment. The degree program is 60 credit¹ hours. Of note the proposal application includes a request for waiver of total program hours from 30 to 60 based upon the number of credit hours needed to maintain an accredited degree program inclusive of clinical rotations and internships.

The MSAT will be accredited by the Commission on Accreditation of Athletic Training (CAATE). Once the program receives approval by the Board of Regents, the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) and the United States Department of Education, the athletic training program will file a formal degree change request to the CAATE along with a self-study for the proposed graduate program.

Program Degree Credit Hour Waiver¹: Georgia Southern University's proposed MSAT program credit hour requirement also aligns with the credit hour requirement of the institution's other allied health care programs, including the Master of Science degree with a major in Communication Sciences and Disorders which requires 57 credit hours.

12. Establishment of a Doctor of Philosophy in Criminal Justice, University of North Georgia

Recommended: That the Board approve the request of President Bonita Jacobs that the University of North Georgia (“UNG”) be authorized to establish a Doctor of Philosophy in Criminal Justice, effective May 12, 2020.

Need and Demand: The proposed PhD in Criminal Justice will provide important benefits to the region and the state. Chief among them are two distinct immediate needs in criminal justice today. One, there is a need for police executives to understand big data, how it is collected without bias, converted to knowledge, and finally analyzed into useful *intelligence*. Two, there is a need for faculty who understand the process to deliver such principles and instruction at the doctoral level. This is perhaps the greatest need in the country at the moment as it relates to criminal justice. Fortunately, there exists a structural advantage within the pool of potential applicants. Assuming they began their career at the age of 21, most of those commissioned law enforcement officers engaged in full-time employment are eligible to retire by the time they reach the age of 51. Consequently, they are living longer and often seeking a second career. Longing for the security of adequate health care and a stable income in retirement, these potential retirees, supported by various employer-backed tuition remission or reimbursement programs, are entering the graduate school market in hopes of teaching at the graduate level.

This program will train the next generation of faculty who will teach criminal justice in the state’s two (2) and four (4) year colleges. The academic job market in criminal justice is quickly expanding. Well-trained criminal justice instructors will enjoy an attractive job market for the foreseeable future. According to the U.S. Department of Labor Bureau of Labor Statistics, employment of postsecondary teachers in criminal justice is projected to grow 15 percent from 2016 to 2026, much faster than the average of all occupations.

Program Summary: The proposed PhD in Criminal Justice will be delivered as an applied research and teaching-style program that allows students the option to continue their current employment while completing the program. Courses for the program will be offered online. It is anticipated that students in the PhD program will be able to complete the degree in three years (through a cohort-based program model), with a maximum of five years allowable. The PhD in Criminal Justice with a concentration in Intelligence with applied research at UNG will be designed to meet the intelligence and research needs of military, federal, state, and local governmental agencies, private corporations engaged in intelligence and security fields, and others who are interested in developing greater knowledge about the field of intelligence and research studies. According to the objective of this degree is to prepare students for analytic, operational, research, and investigative intelligence positions within the federal government, the military, local law enforcement, and the private sector. This degree program will be supported by academics and professionals within UNG’s Institute of Leadership and Strategic Studies (ILSS), UNG’s MA in International Affairs with a concentration in International Security, and UNG’s Department of Computer Science Program in Cybersecurity, the PhD program will be well-placed to offer a concentration in Intelligence with applied research components.

12. Establishment of a Doctor of Philosophy in Criminal Justice, University of North Georgia (Continued)

List of Similar USG Programs¹

The University System of Georgia has one doctoral program and it is located at Georgia State University.

Institution	2017	2018	2019	3 Yr. Avg
Georgia State University				
Enrollment	23	22	30	25
Graduation	2	4	3	3

¹ Qlik

Fiscal and Facilities: All necessary personnel are currently employed and therefore budgeted and there are no facilities implications.

Assessment: The Office of Academic Affairs will work with the institution to measure the success and continued effectiveness of the proposed program. The review is in concert with the institution's schedule of comprehensive program reviews.

13. Request for Academic Program Terminations: Kennesaw State University

Request from President Pamela S. Whitten that Kennesaw State University be approved to terminate five (5) academic degrees effective May 12, 2020.

a. Bachelor of Science in Civil Engineering Technology

Abstract: This program was deactivated due to low enrollment and had its last graduates in May 2018. The program has no (0) enrolled students.

b. Bachelor of Science with a major in computer science

Abstract: This is a duplicate CIP code for the BS with a major in computer science. Kennesaw State requests to retain the degree program with the CIP code 11.0701.00 and terminate the duplicate program with CIP code 11.0101.01. There currently are no students in the major with CIP code 11.0101.01

c. Bachelor of Science in Telecommunications Engineering Technology

Abstract: The program was deactivated due to low enrollment. There are no longer any students enrolled, and the program had its last graduate in May 2018.

d. Master of Science in Accounting

Abstract: Kennesaw State University is requesting termination of an incorrect entry on the DMA for the Master of Science in Accounting. There are no students enrolled in the incorrect degree program.

e. Master of Science in First Year Studies

Abstract: This program was recommended for termination due to low enrollment. The remaining one student in the program has completed all coursework and has been advised that she must successfully defend her thesis by 5/8/2020. Termination of this program does not have adverse effects on faculty or students.

14. Request for Academic Program Terminations: University of Georgia

Request from President Jere W. Morehead that the University of Georgia be approved to terminate (one) academic degree effective May 12, 2020.

f. Doctor of Philosophy In Interdisciplinary Biomedical Sciences

Abstract: The University of Georgia requests to terminate the major in Interdisciplinary Biomedical Sciences (Ph.D.) due to low enrollment. The major was originally created to support biomedical instruction and research through interdisciplinary education, but since its creation, multiple parallel initiatives have been introduced on campus. With the availability of other options, this major is no longer necessary. There are no students currently enrolled in this program, and the faculty and staff are all now involved in other programs. Therefore, this termination will not have an adverse effect on any students, faculty, or staff.

15. Request for Academic Program Terminations: University of West Georgia

Request from President Brendan Kelly that the University of West Georgia be approved to terminate (one) academic degree effective May 12, 2020.

g. Master of Education in Business Education

Abstract: The College of Education in collaboration with Richards College of Business wishes to deactivate the M.Ed. in Business Education because of extremely low enrollment over the last 5 years. No students have been enrolled in the program since Fall 2018.

16. Out-of-State- Tuition Differential Waiver: Georgia Southern University

Recommended: That the Board of Regents approve a request by President Kyle Marrero to revise Georgia Southern University's out-of-state border waiver to remove the restriction to the Statesboro campus location.

Abstract of the Out-of-State Tuition Differential Waiver: (BOR 7.3.4.1). The Board approved the addition of a border state undergraduate resident out-of-state tuition differential waiver and this waiver was subsequently implemented for new students at selected institutions in Fall 2015. The Board acted November 15, 2017 to extend the existing border waivers to add the following institutions Georgia Southern University as part of the consolidation for the Armstrong and Liberty campuses for the states of Alabama, Florida, South Carolina and Tennessee; Columbus State University and the state of Alabama; University of West Georgia for the state of Alabama; and Dalton State College for the state of Tennessee. Use of the border state resident out-of-state tuition differential waiver enables institutions to competitively recruit qualified students consistent with the institution's educational mission while benefiting the USG, the State of Georgia, and local communities through that student's use of dormitory space, dining, and the economic impact within the local community. Additionally, attendance at and eventual graduation from a USG institution creates long-term opportunities for support to that institution and introduction of qualified graduates available for employment within the State of Georgia. Each of the named institutions has been determined to have capacity to enroll additional students.

The Board of Regents approved Georgia Southern University request to use the border waiver request at its August 14, 2018. This request was only approved for the Armstrong-Savannah campus and the Liberty campus locations. This request for a revision to Georgia Southern University's out-of-state border waiver will not displace any Georgia students. The President shall monitor capacity for new enrollments at their respective institutions and/or named instructional site/program and shall cease issuing new border resident out-of-state tuition waivers in the event that the institution no longer has the capacity to enroll academically qualified in-state residents. President shall certify annually to the Chief Academic Officer that the institution has excess capacity in order to continue awarding border waivers.

17. **Out-of-State- Tuition Differential Waiver: East Georgia State University**

Recommended: That the Board of Regents approve a request by President Robert Boehmer to offer the out-of-state border waiver (BOR Policy 7.3.4.1 Out-of-State Border Waiver), effective May 12, 2020.

Abstract: East Georgia State College offers entry programs at Augusta University and Georgia Southern University. Each institution is approved to offer the out-of-state border waiver to board approved states. This waiver will complement the out-of-state border waiver offered at their partnered institutions. The President shall monitor capacity for new enrollments at their respective institutions and/or named instructional site/program and shall cease issuing new border resident out-of-state tuition waivers in the event the institution no longer has the capacity to enroll academically qualified in-state residents. The President shall certify annually to the Chief Academic Officer that the institution has excess capacity in order to continue awarding border waivers.

18. Named Faculty Positions

Names regarding institutional requests to appoint faculty with the appropriate qualifications into named faculty positions are listed below:

Institution Name: Georgia Institute of Technology
University Faculty's Name: Dr. Marilyn Brown
Named Position: Regents Professor

Institution Name: Georgia Institute of Technology
University Faculty's Name: Dr. Suresh Sitaraman
Named Position: Regents Professor

Institution Name: Georgia Institute of Technology
University Faculty's Name: Dr. Jeffrey Skolnick
Named Position: Regents Professor

Institution Name: Georgia Institute of Technology
University Faculty's Name: Dr. Prasad Tetali
Named Position: Regents Professor

Institution Name: Georgia Institute of Technology
University Faculty's Name: Dr. Vigor Yang
Named Position: Regents Professor

Institution Name: Georgia Institute of Technology
University Faculty's Name: Dr. Lisa Yaszek
Named Position: Regents Professor

Institution Name: Georgia Institute of Technology
University Faculty's Name: Dr. Ellen Zegura
Named Position: Regents Professor

Institution Name: Georgia State University
University Faculty's Name: Dr. Guantao Chen
Named Position: Regents Professor

Institution Name: Georgia State University
University Faculty's Name: Dr. Geert de Vries
Named Position: Regents Professor

Institution Name: Georgia State University
University Faculty's Name: Dr. Richard Wright
Named Position: Regents Professor

Institution Name: University of Georgia
University Faculty's Name: Dr. Yohannes Abate
Named Position: Susan Dasher and Charles Dasher MD Professorship in Physics

18. Named Faculty Positions (Continued)

Institution Name: University of Georgia
University Faculty's Name: Dr. Michael Johnson
Named Position: Regents Professor

Institution Name: University of Georgia
University Faculty's Name: Dr. Rick L. Tarleton
Named Position: Regents Professor

Institution Name: University of Georgia
University Faculty's Name: Dr. Sally E. Walker
Named Position: Shellebarger Professorship in Geology

AGENDA
COMMITTEE ON ORGANIZATION & LAW

May 8, 2020

Agenda Item	Page No.
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APPROVAL ITEMS

- | | | |
|----|--------------------------------------------------------------|---|
| 1. | Security Clearance Authorizations: Savannah State University | 1 |
| 2. | Honorary Degree Requests | 2 |

EXECUTIVE SESSION

- | | | |
|----|-------------------|---|
| 3. | Executive Session | 3 |
|----|-------------------|---|

1. Security Clearance Authorizations: Savannah State University

Savannah State University seeks authorization for certain named personnel to apply for and receive United States Government security clearance related to classified government research. This approval is renewed annually and was last approved at the Board's January 2019 meeting.

Background: Savannah State University performs certain classified research on behalf of, and via contract with, the United States Department of Defense and other agencies of the United States government. The National Industrial Security Program Operating Manual requires the Board of Regents to authorize persons to receive necessary security clearances and to make certain declarations regarding the security of designated facilities.

Board of Regents of the University System of Georgia
270 Washington Street, S.W.
Atlanta, Georgia 30334-1450
A Resolution of
The Board of Regents of the University System of Georgia

WHEREAS, the board of Regents directs, manages, and oversees, among other public institutions, Savannah State University; and

WHEREAS, certain research programs of national significance are conducted at Savannah State University under the provisions of the National Industrial Security Program Operating Manual (NISPOM) and involve sensitive policies, processes, facilities, materials, equipment, and personnel resources of Savannah State University; and

WHEREAS, the President of Savannah State University, currently Kimberly Ballard-Washington, has the authority for all matters relating the conduct of classified programs residing at Savannah State University including responsibility for establishing appropriate policy and practices necessary for compliance with the provisions of the NISPOM; and

WHEREAS, the NISPOM, in paragraph 2-106, provides that officers, directors, partners, regents, or trustees shall not retire, shall not have, and can be effectively excluded from access to all classified information disclosed to the organization;

NOW THEREFORE, BE IT DECLARED, the President of Savannah State University, Kimberly-Ballard Washington, at this time does possess the required security clearance at the level of classification pertaining to the classified programs of research at Savannah State University, to wit, Top Secret, and

BE IT RESOLVED, that the Board of Regents of the University System of Georgia hereby grants to the President of Savannah State University, Kimberly Ballard-Washington, and successors who may be named by the Board of Regents from time to time, authority for all matters relating to the conduct of classified programs residing at Savannah State University, including establishing policies and practices necessary for planning and conduct of classified operations at Savannah State University; and

BE IT FURTHER RESOLVED, that in the future, when any individual enters upon any duties at Savannah State University as President, Provost, Dean of the College of Sciences and Technology, Facility Security Officer, Alternate Facility Security Officer, or Director of Sponsored Research Office, such individual shall immediately make application for the required security clearance, and

BE IT FURTHER RESOLVED, that neither any member of the Board of Regents of the University System of Georgia nor the Chancellor of the University System of Georgia require any access to classified information in the conduct of the business of the University System of Georgia or Savannah State University; and

BE IT FURTHER RESOLVED, that neither the Board of Regents nor the Chancellor of the University System of Georgia nor any other officer of the Board of Regents occupy positions that would enable them to adversely affect Savannah State University's policies or practices in the performance of classified contracts, such policies and practices being the sole responsibility of the President of Savannah State University; and

BE IT FURTHER RESOLVED, that members of the Board of Regents, the Chancellor of the University System of Georgia, the Treasurer of the Board of Regents, and the Secretary of the Board of Regents who are specifically excluded from access to classified information conducted at Savannah State University specifically include:

NAME	TITLE
Gudenrath, W. Allen	Regent
Hames, Erin	Regent
Holmes, Samuel	Regent
Holmes, Barbara	Regent
Hopkins, C. Thomas	Regent
Hull, James	Regent
Joiner, Cade	Regent
Kennedy, C. Everett	Regent
Little, Rachel	Regent
May, Lowery	Regent
Perez, Jose	Regent
Pruitt, Neil	Regent
Langford Reed, Sarah-Elizabeth	Regent
Reynolds, Harold	Regent
Shailendra, Sachin	Chair
Smith, T. Dallas	Regent
Stelling, Kessel	Regent
Waters, Don	Regent
Wilheit, Philip	Regent

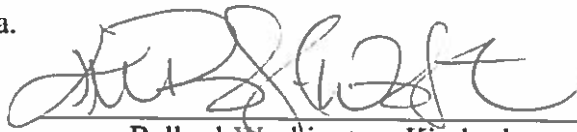
BE IT FURTHER RESOLVED, that this Resolution may, consistent with the National Industrial Security Program Operating Manual, be cancelled upon notice by the Board of Regents of the University System of Georgia; and

BE IT FURTHER RESOLVED, that this action is hereby made a matter of record by the Board of Regents of the University System of Georgia; and

BE IT FURTHER RESOLVED, that a copy of the resolution shall be furnished to the Provost of Savannah State University.

RESOLVED this 20th day of February, 2020, in Atlanta, Georgia.

Shailendra, Sachin
Chair, Board of Regents of
The University Systems of Georgia



Ballard-Washington, Kimberly
Interim President
Savannah State University

2. Honorary Degree Requests

President Chris Markwood of Columbus State University seeks the Board's approval to award an honorary degree to Mr. Marc Olivié and Ms. Marleen De Bode-Olivié, and President Ángel Cabrera of the Georgia Institute of Technology seeks the Board's approval to award an honorary degree to Mr. James Robert B. Quincey.



March 4, 2020

Chancellor Steve Wrigley
University System of Georgia
270 Washington St. SW
Atlanta, GA 30334

Dear Chancellor Wrigley:

On behalf of Columbus State University (CSU), I am writing to request approval to award an honorary degree to Marc Olivié and Marleen De Bode-Olivié in Spring, 2020. This request has been approved through CSU's internal process for nominating individuals for honorary degrees. The process includes review and recommendation from an institutional committee comprised of a faculty member from each college in addition to endorsement of the appropriate dean, the provost, and the president.

Marc Olivié and Marleen De Bode-Olivié not only continue, but greatly enhance the storied tradition of investments made in CSU by the W.C. Bradley Company. The company's investments since 2008 total over three million dollars. Marc and Marleen have also given personally of their own time, talent, and treasure to the benefit of CSU.

Marc serves as a Trustee of the Columbus State University Foundation where he recently played a major role in the most recent CSU Foundation Strategic Planning process. He served as a member of the Presidential Search Committee, which led to the hiring of our current President, Dr. Chris Markwood. Marc is a past speaker for the D. Abbott Turner College of Business Executive Speaker Series. He also served as commencement speaker for the May, 2016, CSU graduation ceremony. Among Marc's most notable contributions to CSU is his championing of the fundraising effort to secure twenty-five million dollars for the construction of Frank D. Brown Hall, the new home of CSU's College of Education and Health Professions.

Marleen served as Chair of the Friends of Art Steering Committee where she (and Marc) established the Funding Future Artists Scholarship Fund. This fund was designed to expand the recruitment and talent pipeline in the Department of Art to include national and international students. The scholarship supports a four-year, full tuition, and full room and board scholarship for a highly qualified art student. Not only did Marleen and Marc make the initial investment in this new fund, but they encouraged others to make multi-year investments, which total \$325,000 year-to-date. Marleen was conferred an Honorary Alumni Membership by CSU in 2015 for her passionate advocacy of arts and education and her dedicated service to the mission of CSU.

Together, Marc and Marleen commissioned and contributed a public art installation at Frank D. Brown Hall. They commissioned a CSU Creative Writing Professor and CSU Art Professor to write an original poem and sculpt the words for a public art installation along the Columbus Riverwalk for the enjoyment of tens of thousands of citizens each year. They have purchased countless works of art from CSU Art Faculty, and they have sponsored and hosted in their home, over a dozen visiting artists whose work has exhibited in the Illges Gallery at the Corn Center for Visual Arts at CSU. Under Marc's leadership, the W.C. Bradley Company has commissioned CSU Photography Professor, Rylan Steele, to photograph the Char-Broil manufacturing business located in China to be exhibited throughout the new Char-Broil Headquarters in Columbus, GA.

Marc and Marleen represent the epitome of the characteristics of servant leaders. Since their arrival in Columbus, they have collectively had a tremendous impact on the educational and cultural entities in our community. Their generous support of, and personal involvement in Columbus State University are indicative of the community support that enables CSU to excel.

We would be happy to provide additional information as needed. Thank you for your consideration of this request.

Sincerely,

A handwritten signature in black ink, appearing to be 'C. Markwood', written in a cursive style.

Dr. Chris Markwood, President
Columbus State University



Georgia Institute of Technology

Office of the President

January 31, 2020

Edward Tate, Esq.
Vice Chancellor for Legal Affairs & Secretary to the Board
Board of Regents of the University System of Georgia
Suite 7025
270 Washington Street, SW
Atlanta, Georgia 30334

Dear Mr. Tate:

It is my pleasure to propose Mr. James Robert B. Quincey for an Honorary Doctor of Philosophy Degree to be awarded by the Georgia Institute of Technology on behalf of the Board of Regents during the May 2020 commencement ceremonies. A short biography is enclosed.

As the Chairman and CEO of The Coca-Cola Company, Mr. Quincey has spearheaded the upward trajectory of one of the world's most iconic brands, driving a culture of sustainable business practices and global stewardship, making substantial investments in education, technology, and innovation. During his tenure, he has nurtured a longstanding and treasured relationship between The Coca-Cola Company and the Georgia Institute of Technology, partnering in meaningful collaboration to advance the most important interests of Georgia Tech and education in the State of Georgia.

To date, The Coca-Cola Company's philanthropic commitment to Georgia Tech totals more than \$16.5 million, with investments including: \$2.55 million for the Bill Moore Student Success Center; \$1 million for the Coca-Cola Company Tech Promise Scholarship Endowment; and \$1 million for the Campus Recreation Center. Additionally, the company has provided significant support for the Sam Nunn School of International Affairs, the Robert Ferst Center for the Arts, and more recently, the Business Analytics Center. For decades, the company's broad and generous philanthropy has made a tremendous impact on Georgia Tech's mission to advance higher education.

Thank you for your consideration of this honor.

Sincerely,



Ángel Cabrera
President

Enclosure

Georgia Institute of Technology
Atlanta, Georgia 30332-0325 U.S.A.
PHONE 404-894-5051
FAX 404-894-1277

A Unit of the University System of Georgia

An Equal Education and Employment Opportunity Institution

James Quincey

James Quincey is Chairman and CEO of The Coca-Cola Company. He first joined Coca-Cola in 1996 as director of learning strategy for the Latin America Group, and has held a number of leadership roles around the world. Mr. Quincey became CEO in 2017, succeeding Muhtar Kent, and was elected Chairman of the board in 2019. He had previously served as President and Chief Operating Officer of the company from 2015 to 2017. From 2013 to 2015, he was President of Coca-Cola's Europe Group. Under his leadership, the group expanded its brand portfolio and distinguished itself as the company's most profitable operating unit. Mr. Quincey also played a key role in the creation of Coca-Cola European Partners, one of the largest independent Coca-Cola bottlers in the world. He also served as President of the Northwest Europe and Nordics business unit from 2008 to 2012. Prior to joining Coca-Cola, Quincey was a partner in strategy consulting at The Kalchas Group, a spinoff of Bain & Company and McKinsey. He serves as vice co-chair of The Consumer Goods Forum, and he is a founding member of the New York Stock Exchange Board Advisory Council.

Mr. Quincey was born in London, England. He received his primary education at King Edward's School in Birmingham, England, and graduated from the University of Liverpool with a bachelor's degree in electronic engineering. He is bilingual in English and Spanish.

3. Executive Session

The Committee will enter executive session to discuss pending applications for review. These are made to the Board of Regents Office of Legal Affairs pursuant to Policy 6.26. Applications for Discretionary Review and are typically personnel matters and issues of academic status.