



<b>Issue Summary</b>	<p>PeopleSoft v8.9 allows users to specify the control budget events they desire to be secured. The security options include: Budget Bypass, Budget Override, Budget Date, Budget Inquiry, Budget Entry, Budget Transfers and Budget Notification. USG should assess these options and implement desired functionality.</p>
<b>Background</b>	<p>PeopleSoft v7.5 has limited functionality to secure budget events. Budget override and budget period override were the only events delivered and were granted by module as an operator preference.</p> <p>PeopleSoft v8.9 has a new series of budget events in addition to the ones offered in v7.5. Budget Inquiry, Budget Date, Budget Entry, Budget Transfer, Budget Notification and Budget Bypass have been added to the v7.5 features.</p> <p>To enable the functionality in v8.9, each budget event is affiliated with a set of user defined rules that limit the user display or interaction with a set of budget chartfield combinations. The security rules can be applied to a user id, permission list or a dynamic listing of users.</p> <p>To assist in applying the concept, some examples of how institutions could benefit from secured budget events are listed below.</p> <ul style="list-style-type: none"> <li>• The dean of the college of business could view budgets for only his departments through a "easy to use" self service view</li> <li>• The budget officer for the history department could be notified via email or worklist when the available spending balance is within 10% of expiring</li> <li>• Budget override and bypass could be limited to only to the institutions accounting staff</li> </ul> <p>Note: Budget period override equates to budget date override in v8.9</p>
<b>Risks</b>	<p>Enabling secured budget events could impact institutions by.....</p> <ol style="list-style-type: none"> <li>1. Increasing the daily workload in maintaining the security rules</li> <li>2. Restricting access to budget chartfield combinations thus limiting the personnel who could perform budget transfers or maintenances.</li> <li>3. Increasing the email / worklist notifications to the extent users</li> </ol>



	<p>don't pay attention when they receive email from the system</p> <p>4. Increasing the complexity of the upgrade data conversion</p>
<b>Possible Solution(s)</b>	<p>Listed below are some possible options for implementing secured budget events:</p> <p>Option 1 – Bring forward v7.5 functionality into the current release. Convert the operator preferences into budget security rules for budget override and budget date specification</p> <p>Option 2 – Implement Option 1 with the addition of specifying the budget bypass security</p> <p>Option 3 – Implement Option 1 and 2 and have each institution setup budget inquiry rules based on their hierarchy or structure preference. Open security to allow users to view Manager Self Service for Budget Inquiry and Notification Preferences.</p>
<b>Escalating Sub-team and Lead</b>	Functional – Deidre Crawford
<b>Recommended Action</b>	<p>Given the complexity already associated with the upgrade this large in nature, it isn't prudent to take on additional risk. At a minimum, budget date, budget bypass and budget override functionality should be enabled and assigned to user ids.</p> <p>Once the upgrade is complete, institutions should assess the need for notification and budget inquiry security. This functionality sits on top of the commitment control ledgers and can be implemented at any point after the upgrade is complete.</p>
<b>Steering Committee Comments</b>	The BPSC decided to implement budget security on budget bypass, budget override and budget date. A custom conversion process will have to be created to populate the rules based on the security setup currently used in R7.5.